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# MODULAR AND FORMAL ORIENTATIONS BEYOND OSIDH

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- ▶ Orientations and class group actions.
- ▶ OSIDH protocol.
- ▶ Adding level structure.
- ▶ Formal orientations.

# ORIENTATIONS AND CLASS GROUP ACTIONS



Let  $\mathcal{O}$  be an order in an imaginary quadratic field  $K$ .

An  $\mathcal{O}$ -orientation on a supersingular elliptic curve  $E$  is an embedding

$$\iota : \mathcal{O} \hookrightarrow \text{End}(E).$$

A  $K$ -orientation is an embedding

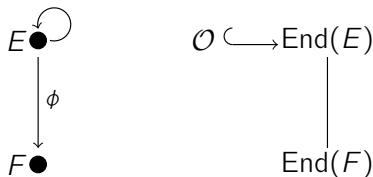
$$\iota : K \hookrightarrow \text{End}^0(E) = \text{End}(E) \otimes_{\mathbb{Z}} \mathbb{Q}.$$

An  $\mathcal{O}$ -orientation is *primitive* if

$$\mathcal{O} \simeq \text{End}(E) \cap \iota(K).$$

## Theorem

The category of  $K$ -oriented supersingular elliptic curves  $(E, \iota)$ , whose morphisms are isogenies commuting with the  $K$ -orientations, is equivalent to the category of elliptic curves with CM by  $K$ .



Let  $\phi : E \rightarrow F$  be an isogeny of degree  $\ell$ . A  $K$ -orientation  $\iota : K \hookrightarrow \text{End}^0(E)$  determines a  $K$ -orientation  $\phi_*(\iota) : K \hookrightarrow \text{End}^0(F)$  on  $F$ , defined by

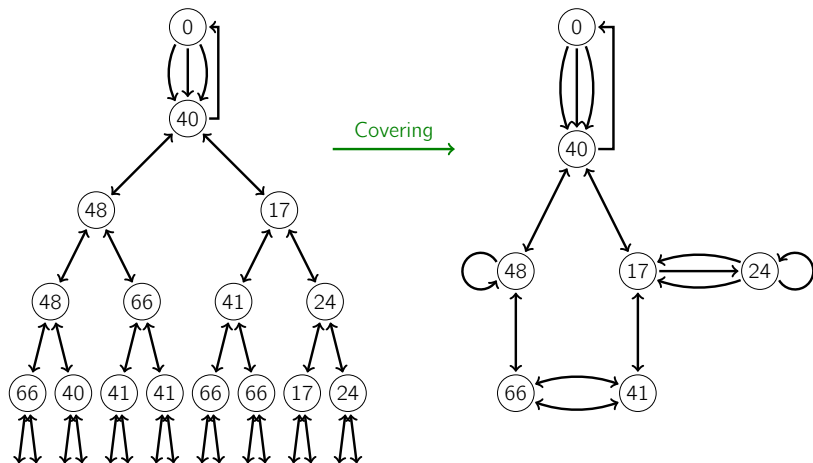
$$\phi_*(\iota)(\alpha) = \frac{1}{\ell} \phi \circ \iota(\alpha) \circ \hat{\phi}.$$

Conversely, given  $K$ -oriented elliptic curves  $(E, \iota_E)$  and  $(F, \iota_F)$  we say that an isogeny  $\phi : E \rightarrow F$  is  $K$ -oriented if  $\phi_*(\iota_E) = \iota_F$ , i.e., if the orientation on  $F$  is induced by  $\phi$ .

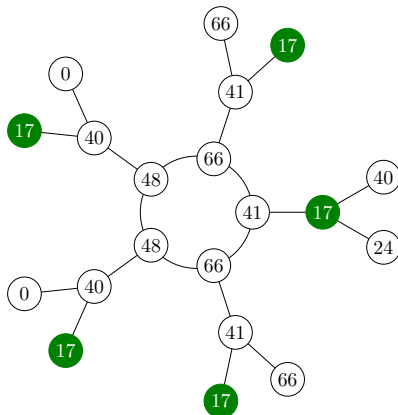
# ORIENTED ISOGENY GRAPHS - AN EXAMPLE

Let  $p = 71$  and  $E_0/\mathbb{F}_{71}$  be the supersingular elliptic curve with  $j(E) = 0$  oriented by the  $\mathcal{O}_K = \mathbb{Z}[\omega]$ , where  $\omega^2 + \omega + 1 = 0$ .

The orientation by  $K = \mathbb{Q}[\omega]$  differentiates vertices in the descending paths from  $E_0$ , determining an infinite graph shown here to depth 4:



We let again  $p = 71$  and we consider the isogeny graph oriented by  $\mathbb{Z}[\omega_{79}]$  where  $\omega_{79}$  generates the ring of integers of  $\mathbb{Q}(\sqrt{-79})$ .



The set  $SS_{\mathcal{O}}(\rho)$  admits a transitive group action:

$$\begin{aligned}\mathcal{C}(\mathcal{O}) \times SS_{\mathcal{O}}(\rho) &\longrightarrow SS_{\mathcal{O}}(\rho) \\ ([\mathfrak{a}], E) &\longmapsto [\mathfrak{a}] \cdot E = E/E[\mathfrak{a}]\end{aligned}$$

## Proposition

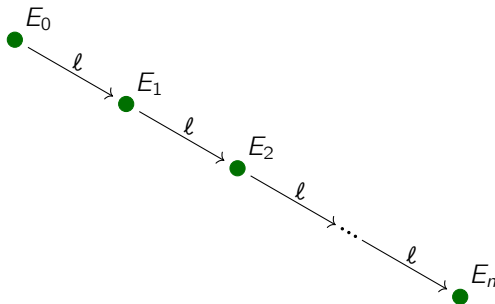
The set  $SS_{\mathcal{O}}^{pr}(\rho)$  is a torsor for the class group  $\mathcal{C}(\mathcal{O})$ .

For fixed primitive  $p$ -oriented supersingular curve  $E$ , we get bijection of sets:

$$\mathcal{C}(\mathcal{O}) \longrightarrow SS_{\mathcal{O}}^{pr}(\rho)$$

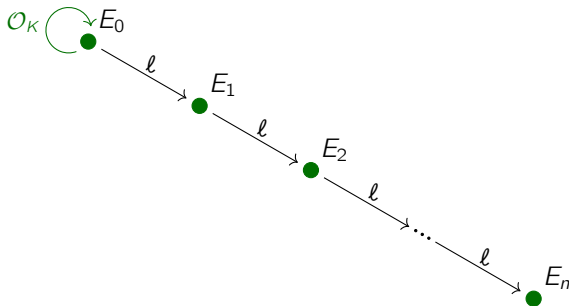


We consider an elliptic curve  $E_0$  with an effective endomorphism ring (eg.  $j_0 = 0, 1728$ ) and a chain of  $\ell$ -isogenies.



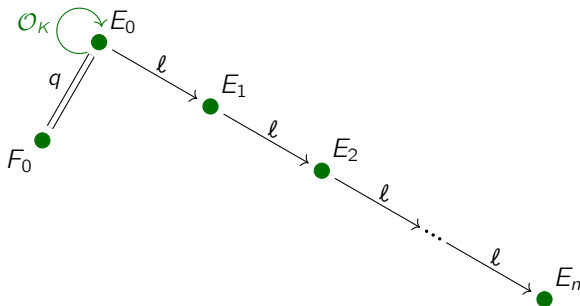
We consider an elliptic curve  $E_0$  with an effective endomorphism ring (eg.  $j_0 = 0, 1728$ ) and a chain of  $\ell$ -isogenies.

- For  $\ell = 2$  (or 3) a suitable candidate for  $\mathcal{O}_K$  could be the Gaussian integers  $\mathbb{Z}[i]$  or the Eisenstein integers  $\mathbb{Z}[\omega]$ .



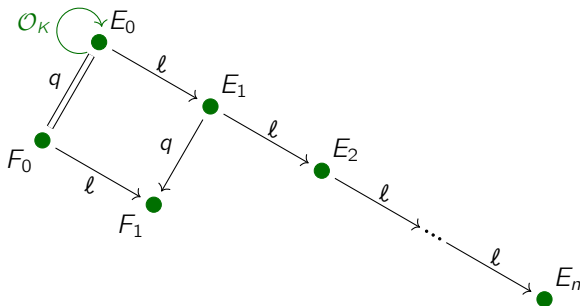
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- Horizontal isogenies must be endomorphisms



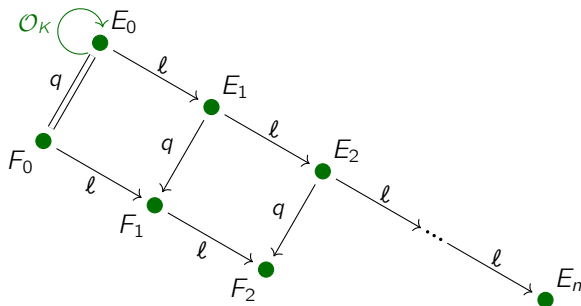
We consider an elliptic curve  $E_0$  with an effective endomorphism ring (eg.  $j_0 = 0, 1728$ ) and a chain of  $\ell$ -isogenies.

- We push forward our  $q$ -orientation obtaining  $F_1$ .



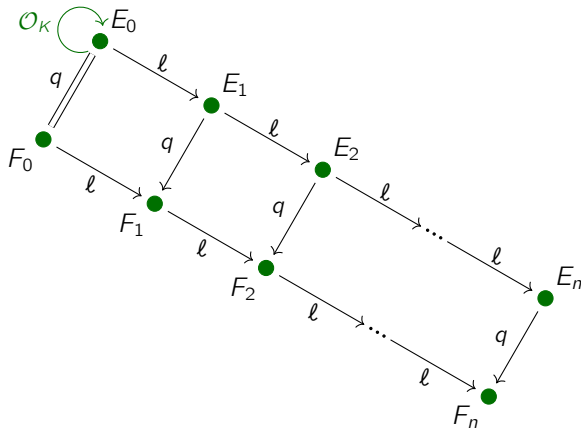
We consider an elliptic curve  $E_0$  with an effective endomorphism ring (eg.  $j_0 = 0, 1728$ ) and a chain of  $\ell$ -isogenies.

- We repeat the process for  $F_2$ .



We consider an elliptic curve  $E_0$  with an effective endomorphism ring (eg.  $j_0 = 0, 1728$ ) and a chain of  $\ell$ -isogenies.

- And again till  $F_n$ .



OSIDH



**PUBLIC DATA:** A chain of  $\ell$ -isogenies  $E_0 \rightarrow E_1 \rightarrow \dots \rightarrow E_n$  and a set of splitting primes  $\mathfrak{p}_1, \dots, \mathfrak{p}_t \subseteq \mathcal{O} \subseteq \text{End}(E_n) \cap K \subseteq \mathcal{O}_K$

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**ALICE**

**BOB**



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Choose integers in a bound $[-r, r]$	$(e_1, \dots, e_t)$	$(d_1, \dots, d_t)$

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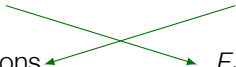
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Precompute all directions $\forall i$	$F_{n,i}^{(-r)} \leftarrow F_{n,i}^{(-r+1)} \leftarrow \dots \leftarrow F_{n,i}^{(1)} \leftarrow F_n$	$G_{n,i}^{(-r)} \leftarrow G_{n,i}^{(-r+1)} \leftarrow \dots \leftarrow G_{n,i}^{(1)} \leftarrow G_n$

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Exchange data		
	$G_n + \text{directions}$	$F_n + \text{directions}$

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Exchange data	$G_n + \text{directions}$	$F_n + \text{directions}$
Compute shared data	Takes $e_i$ steps in $\mathfrak{p}_i$ -isogeny chain & push forward information for $j > i$ .	Takes $d_i$ steps in $\mathfrak{p}_i$ -isogeny chain & push forward information for $j > i$ .

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In the end, they share  $H_n = E_n / E_n [\mathfrak{p}_1^{e_1+d_1} \cdots \mathfrak{p}_t^{e_t+d_t}]$

# OSIDH PROTOCOL - AN EXAMPLE

$$p = 10007$$

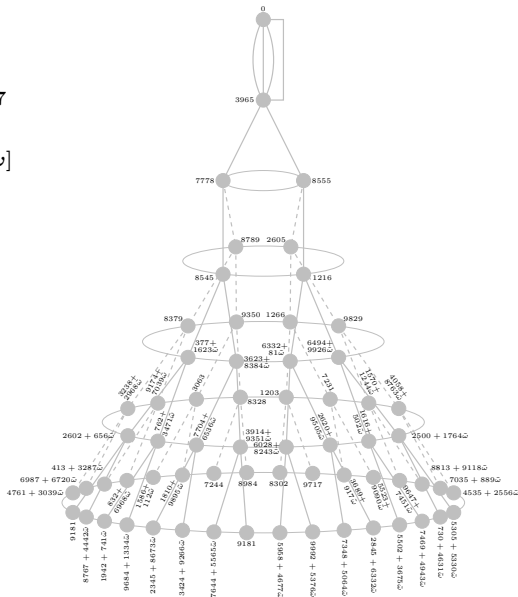
$$\ell = 2$$

$$\mathcal{O}_K = \mathbb{Z}[\omega]$$

$$\ell_1 = 13$$

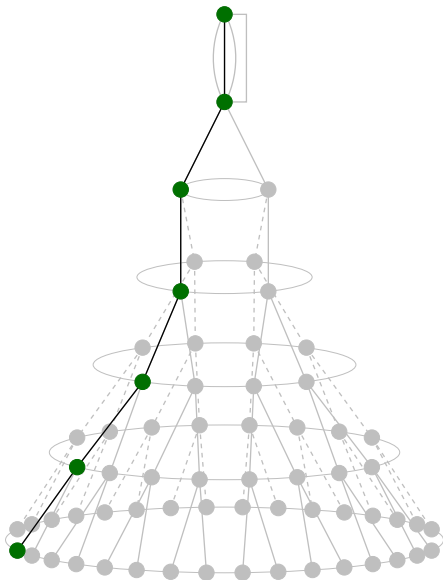
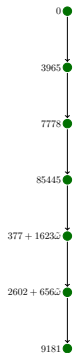
$$\ell_2 = 31$$

$$\ell_3 = 43$$

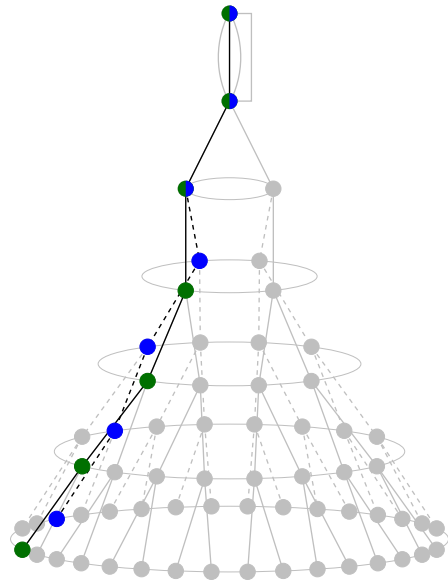
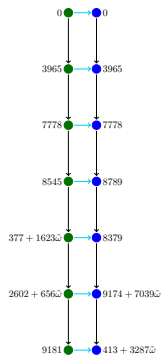




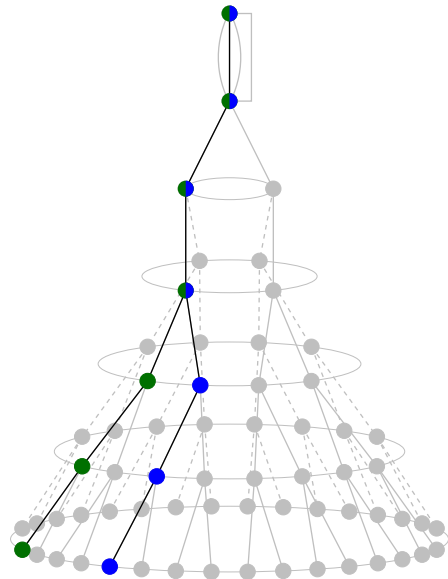
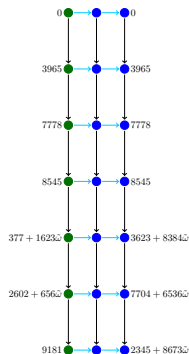
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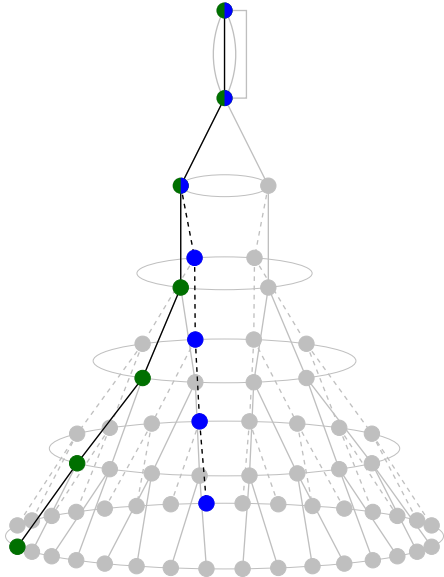
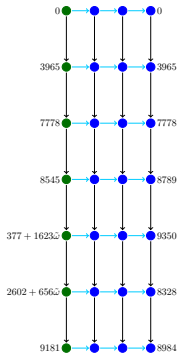


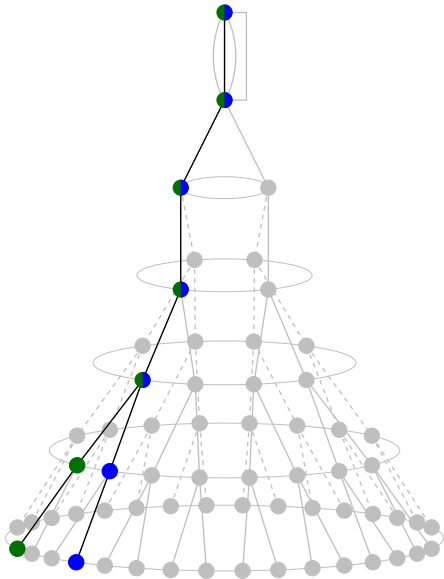
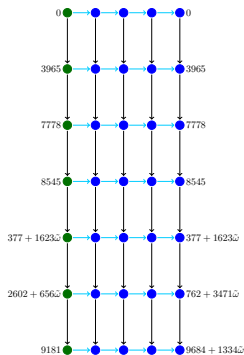
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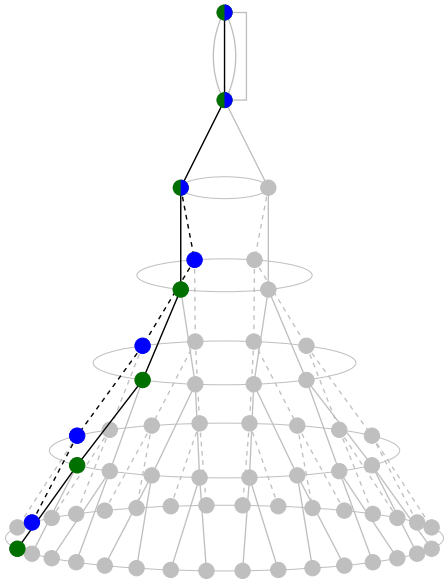
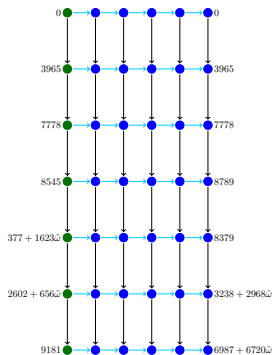


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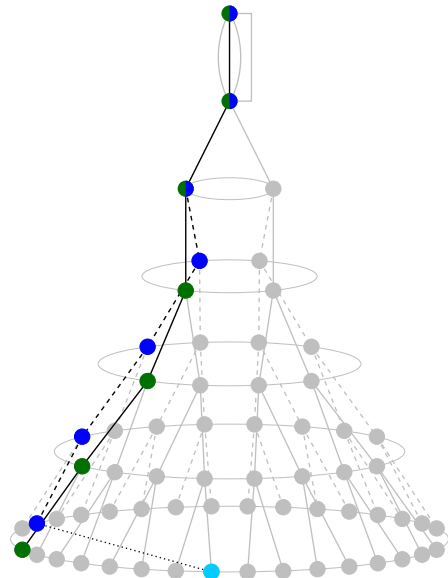
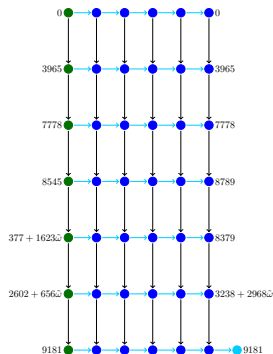


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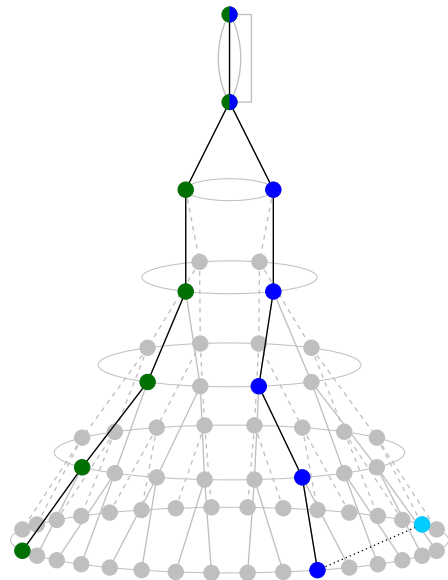
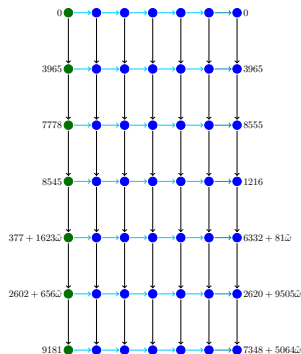
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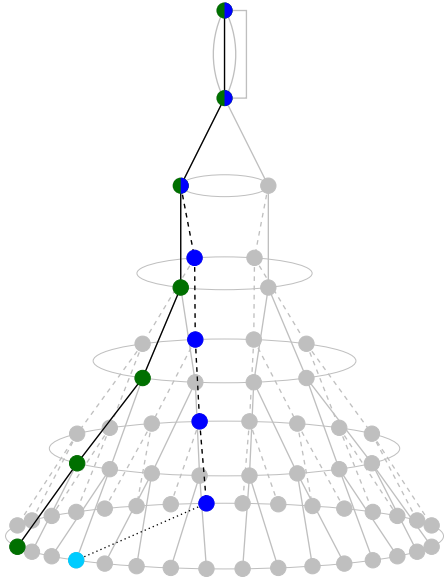
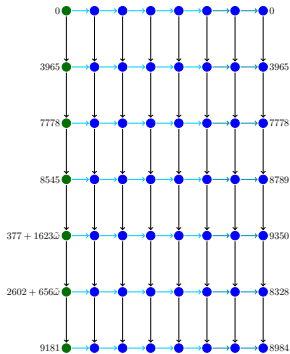


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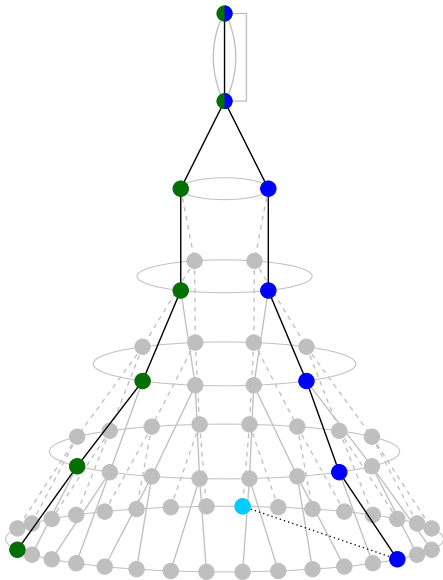
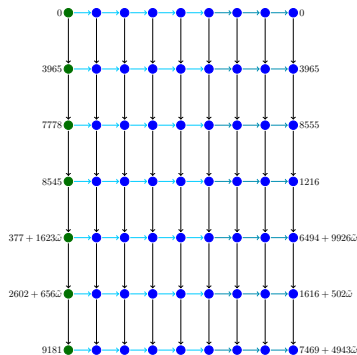




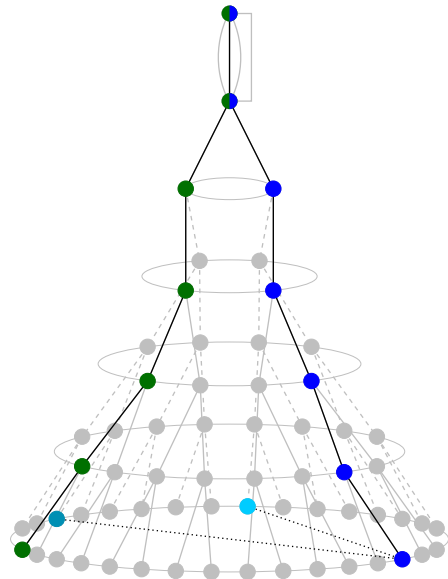
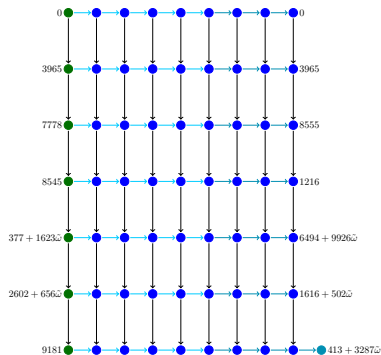
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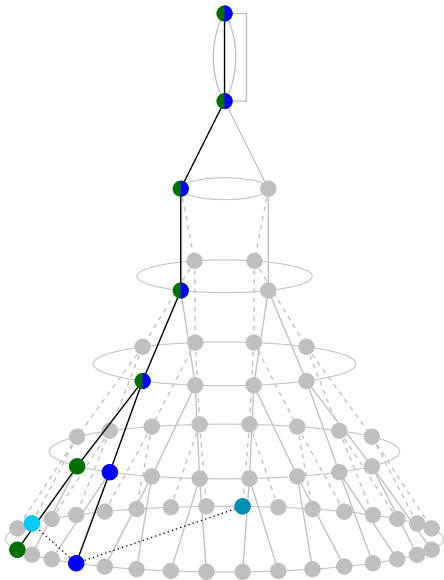
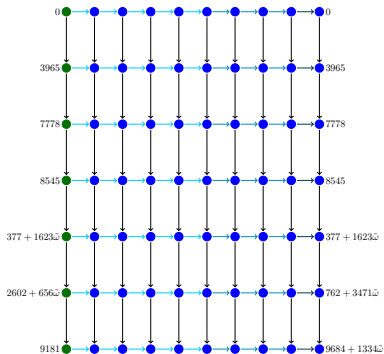
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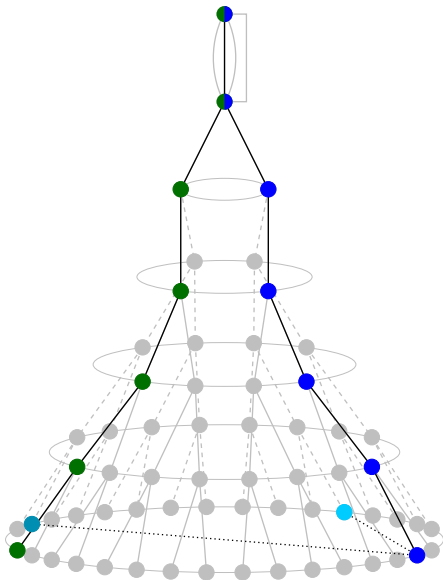
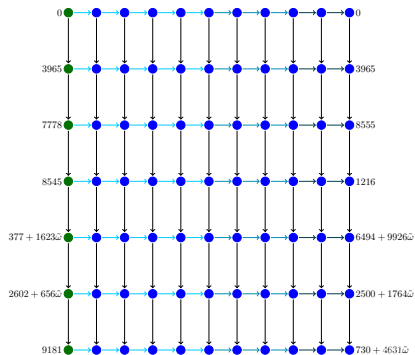
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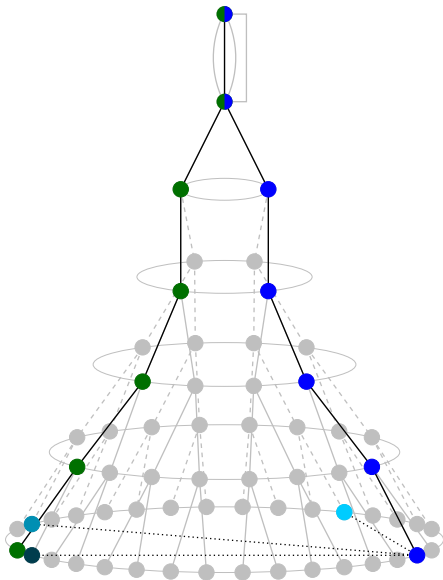
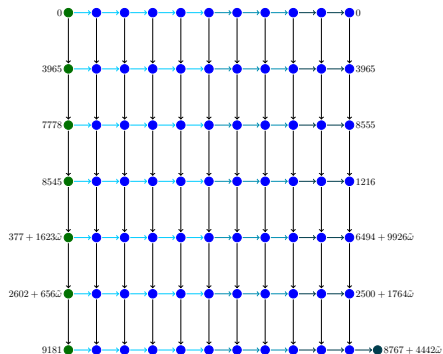
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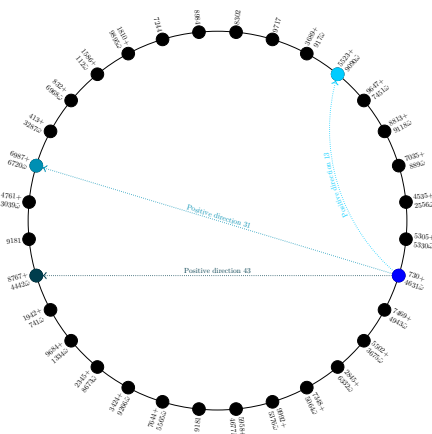
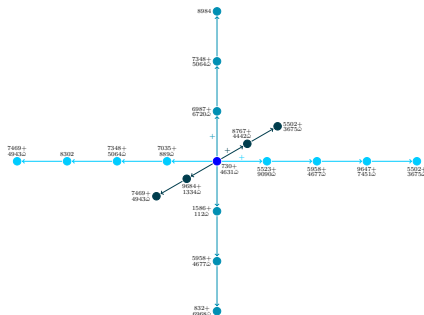
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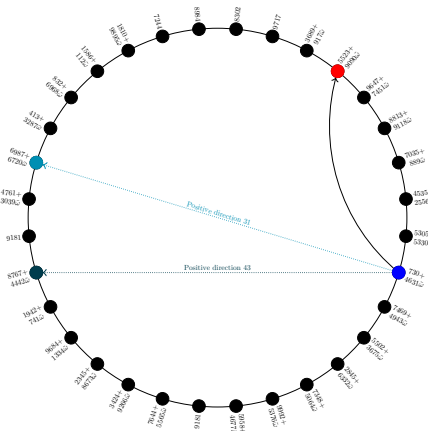


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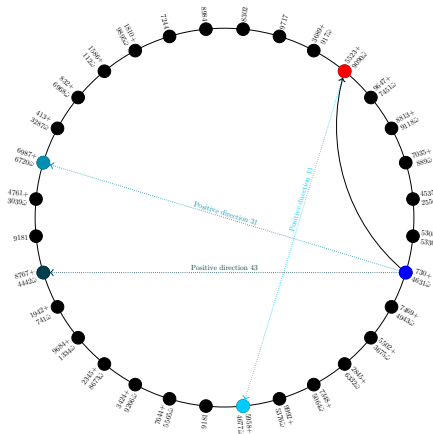
Bob secret key:  $l_1^3 l_2^2 l_3^2$



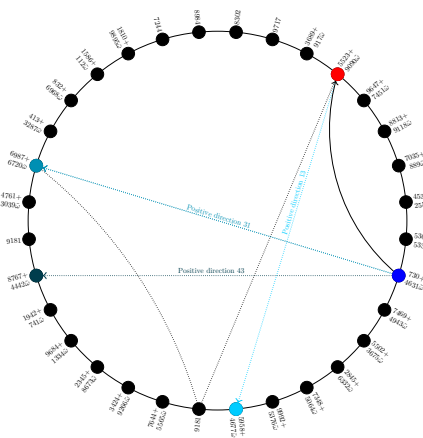
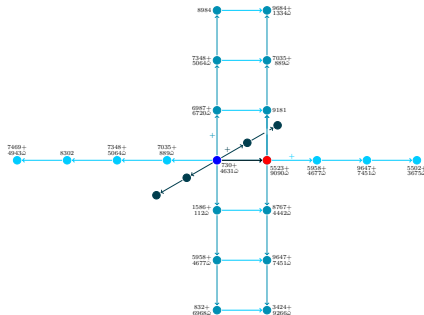




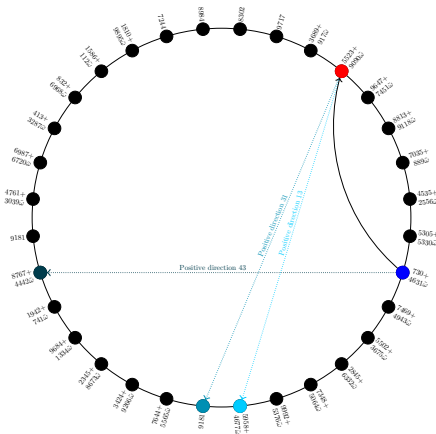
8



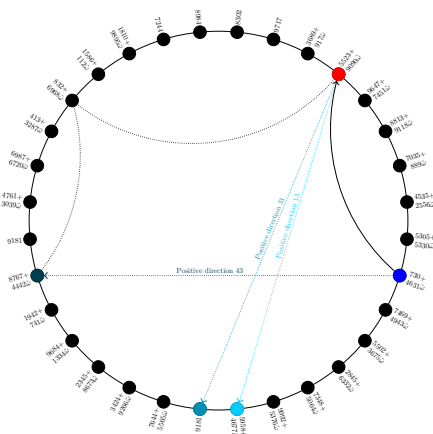
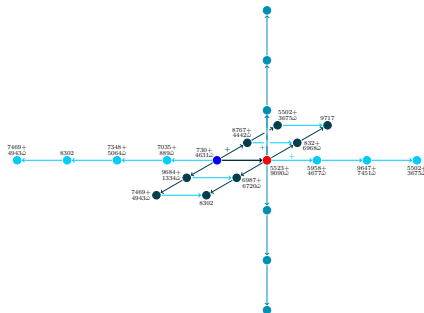
Bob secret key:  $l_1^3 l_2^2 l_3$



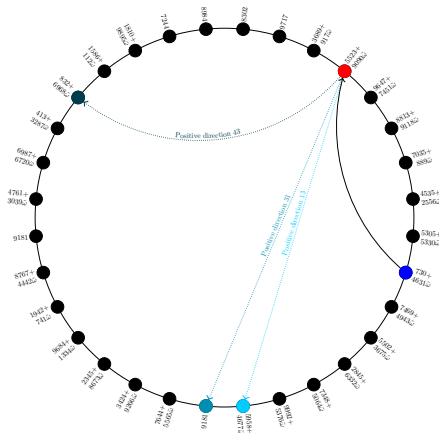
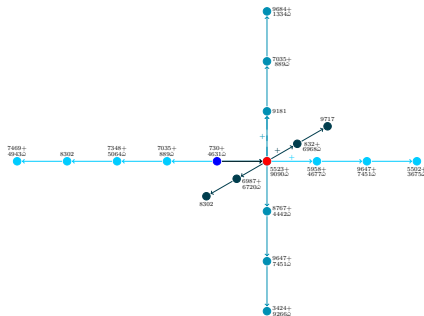
The diagram shows a network of nodes and their connections. The nodes are represented by circles, some of which are colored blue or black. The nodes are connected by lines, some of which are colored blue or black. The nodes are labeled with text, some of which is in blue or black. The nodes are arranged in a grid-like pattern. The nodes are connected by lines, some of which are colored blue or black. The nodes are labeled with text, some of which is in blue or black. The nodes are arranged in a grid-like pattern.



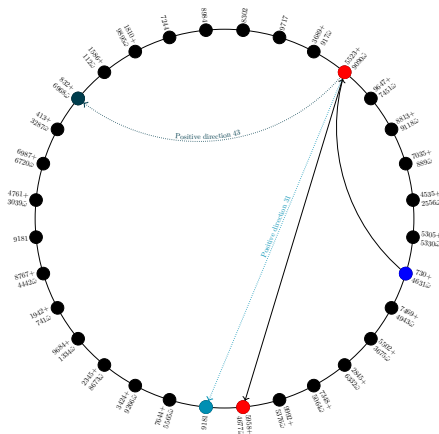
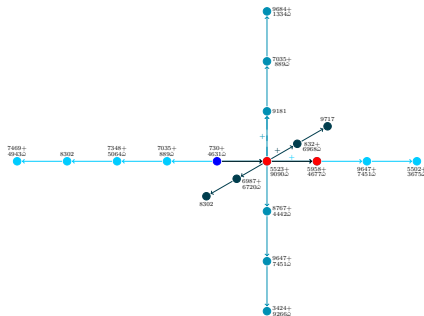
Bob secret key:  $l_1^3 l_2^2 l_3^2$



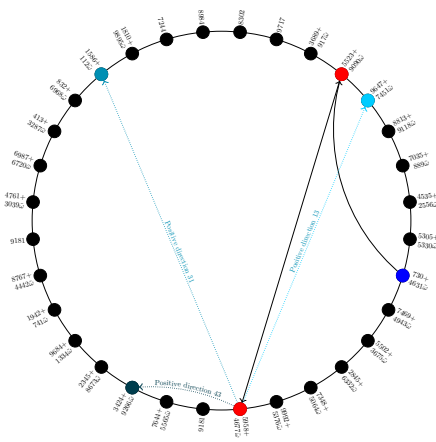
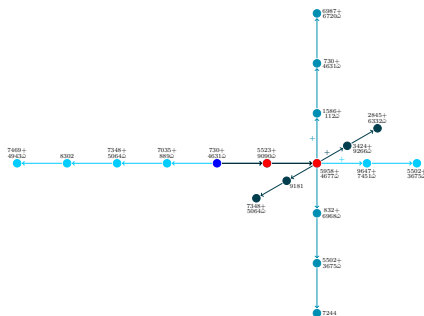
Bob secret key:  $\mathbf{l}_1^3 \mathbf{l}_2^2 \mathbf{l}_3^2$



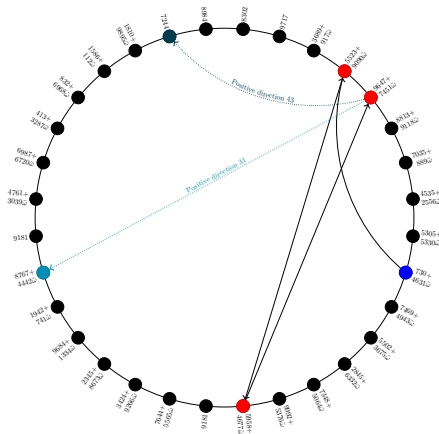
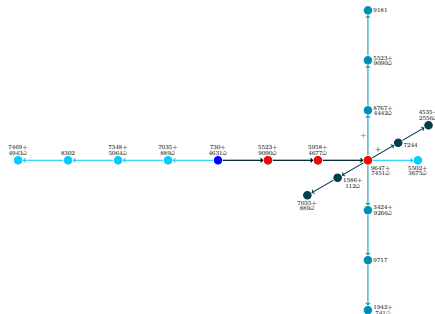
Bob secret key:  $\mathbf{l}_1^3 \mathbf{l}_2^2 \mathbf{l}_3^2$



Bob secret key:  $l_1^3 l_2 l_3^2$

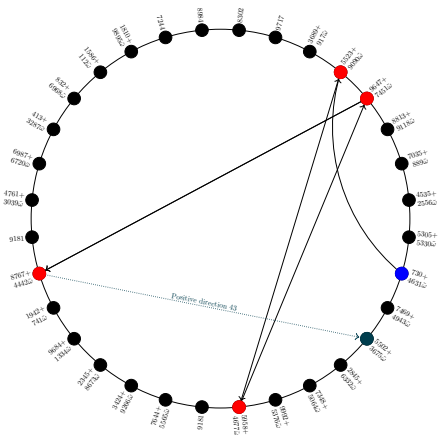
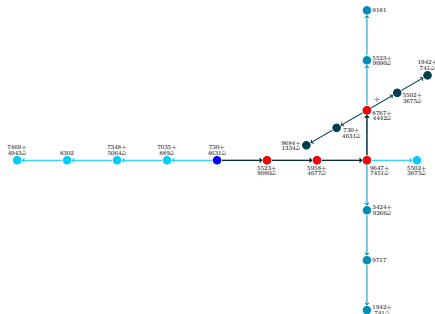


Bob secret key:  $l_1^3 l_2 l_3^2$





Bob secret key:  $l_1^3 l_2 l_3^2$

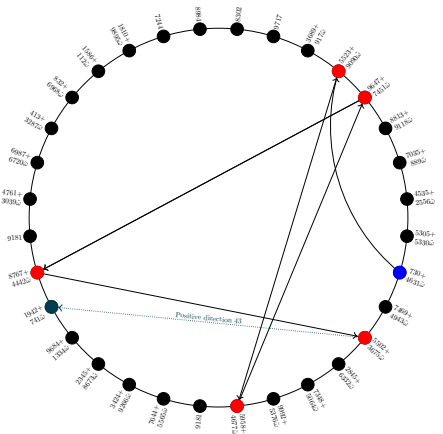


The graph consists of the following nodes and edges:

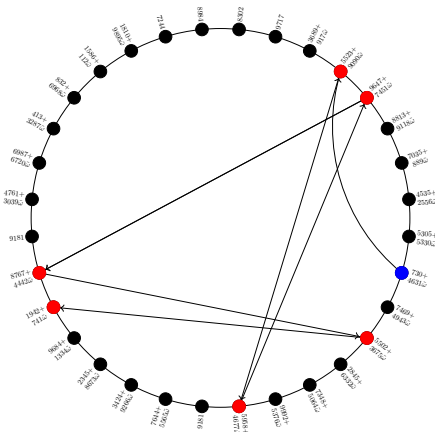
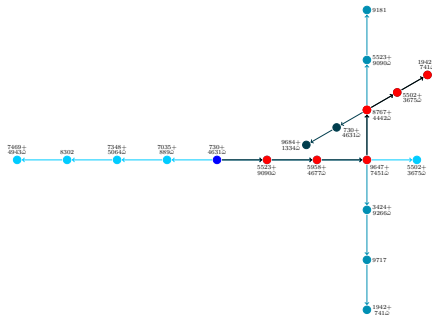
- Node 1 (Blue):  $7489 + 4943i$
- Node 2 (Blue):  $8302$
- Node 3 (Blue):  $7348 + 5094i$
- Node 4 (Blue):  $7035 + 899i$
- Node 5 (Blue):  $730 + 663i$
- Node 6 (Red):  $9521 + 9099i$
- Node 7 (Red):  $9684 + 1334i$
- Node 8 (Black):  $9352 + 4677i$
- Node 9 (Red):  $8847 + 7451i$
- Node 10 (Red):  $8847 + 7451i$
- Node 11 (Black):  $730 + 463i$
- Node 12 (Black):  $4767 + 4442i$
- Node 13 (Red):  $5502 + 3675i$
- Node 14 (Black):  $1942 + 711i$
- Node 15 (Blue):  $9181$
- Node 16 (Blue):  $5521 + 9099i$
- Node 17 (Blue):  $3424 + 9206i$
- Node 18 (Blue):  $9717$
- Node 19 (Blue):  $1942 + 711i$
- Node 20 (Blue):  $1942 + 711i$

The edges connect the nodes in the following sequence:

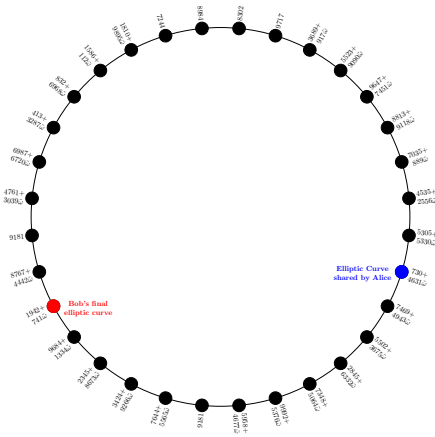
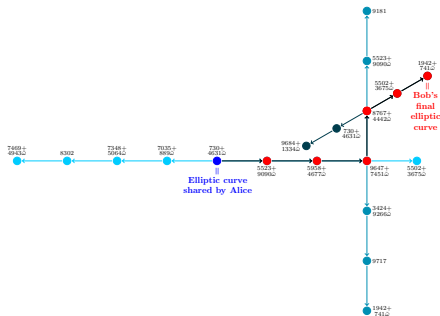
- Node 1 to Node 2
- Node 2 to Node 3
- Node 3 to Node 4
- Node 4 to Node 5
- Node 5 to Node 6
- Node 6 to Node 7
- Node 7 to Node 8
- Node 8 to Node 9
- Node 9 to Node 10
- Node 10 to Node 11
- Node 11 to Node 12
- Node 12 to Node 13
- Node 13 to Node 14
- Node 14 to Node 15
- Node 15 to Node 16
- Node 16 to Node 17
- Node 17 to Node 18
- Node 18 to Node 19
- Node 19 to Node 20



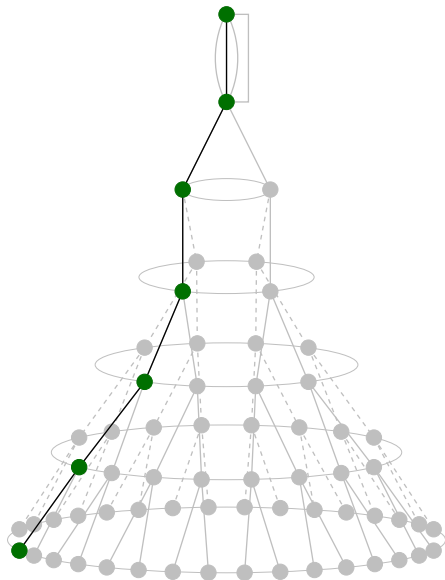
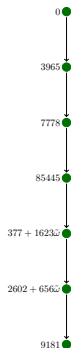
Bob secret key:  $l_1^3 l_2 l_3$



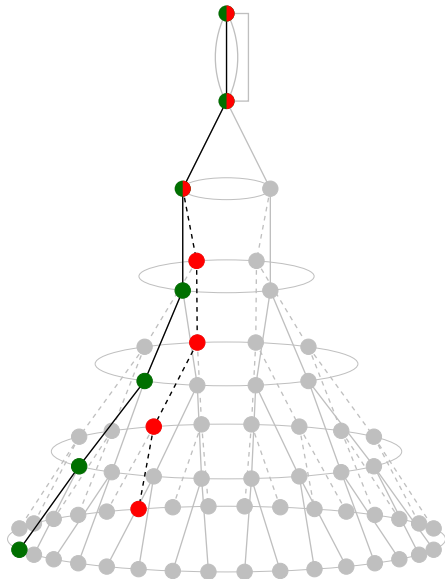
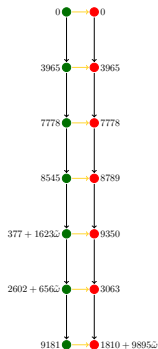
Bob secret key:  $\mathbf{l}_1^3 \mathbf{l}_2 \mathbf{l}_3^2$



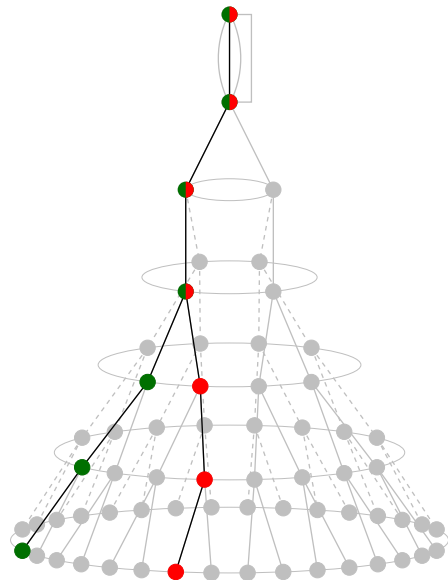
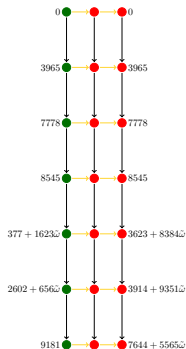
Bob secret key:  $l_1^3 l_2^2 l_3^2$



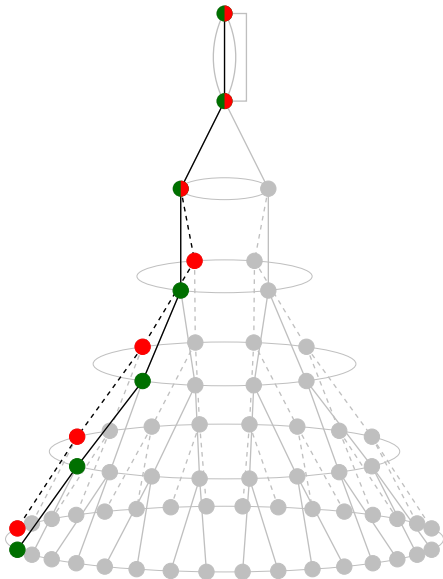
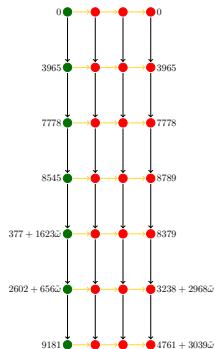
Bob secret key:  $l_1^3 l_2^2 l_3^2$



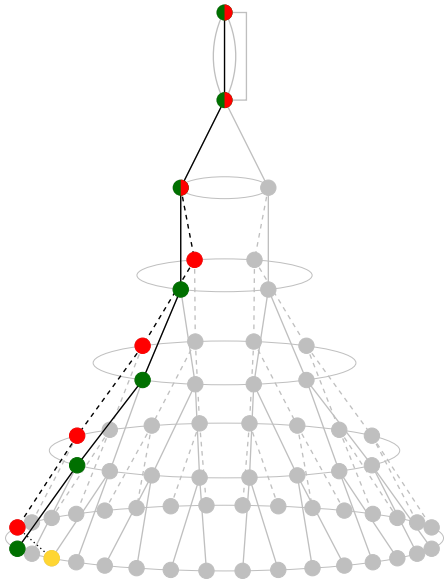
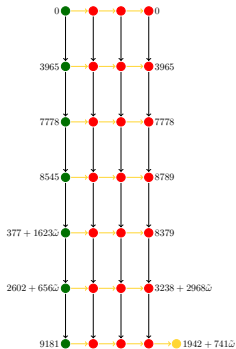
Bob secret key:  $l_1^3 l_2 l_3^2$



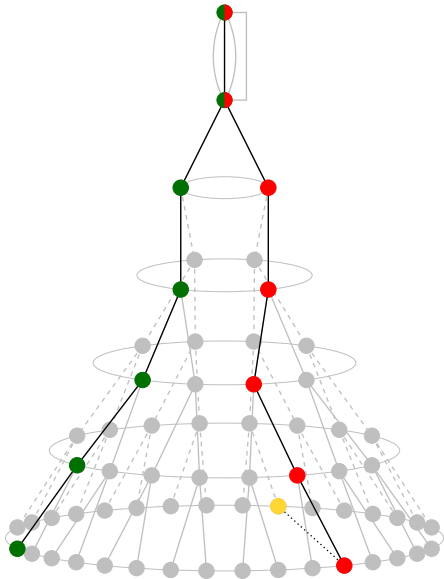
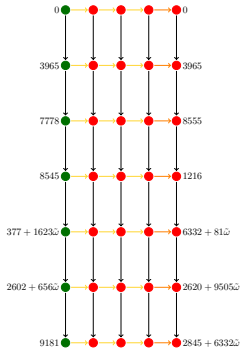
Bob secret key:  $l_1^3 l_2 l_3^2$

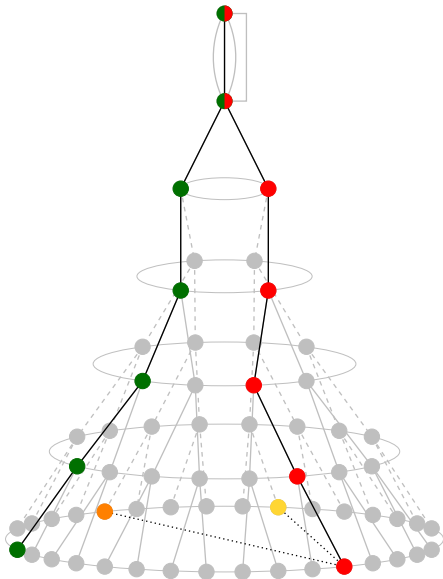
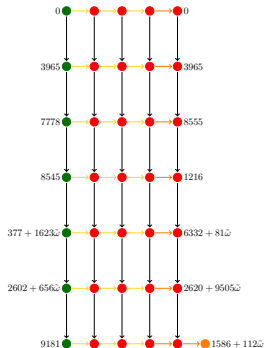




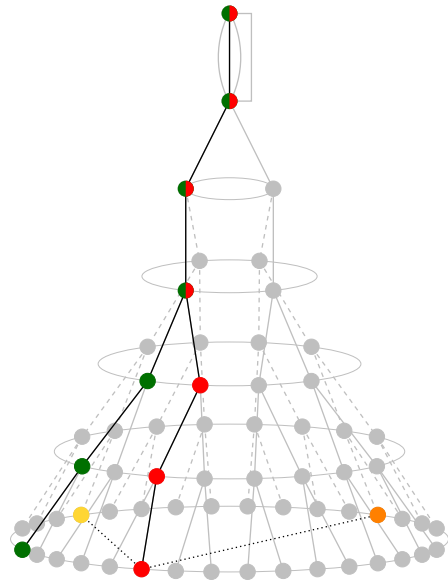
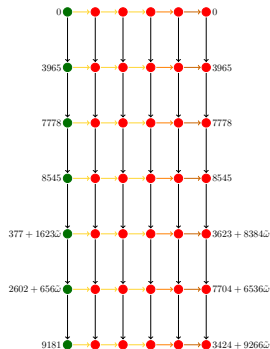
$$l_1^3 l_2 l_3^2$$


Bob secret key:  $\begin{bmatrix} 1 & 3 \\ 1 & 2 \end{bmatrix}$

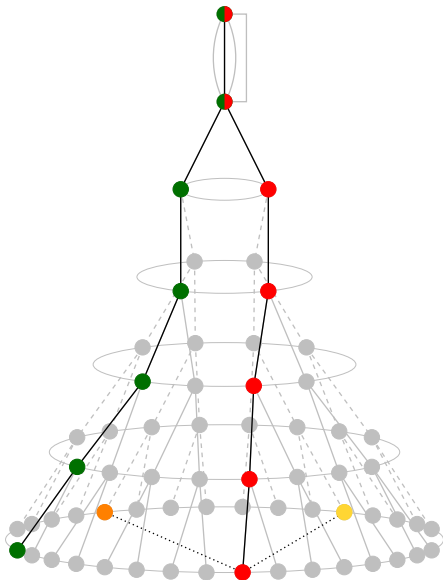
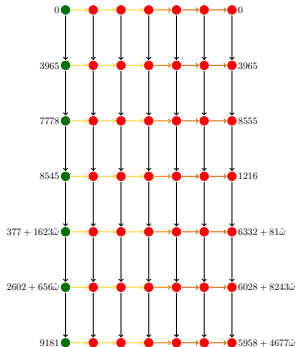


$$l_1^3 l_2^2 l_3^2$$


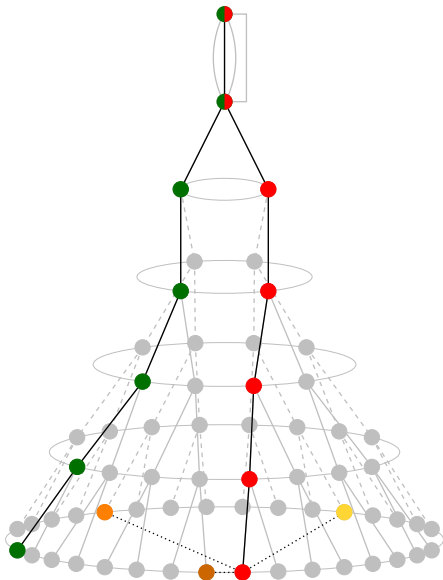
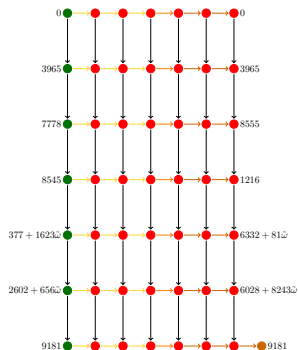
Bob secret key:  $l_1^3 l_2^2 l_3^2$



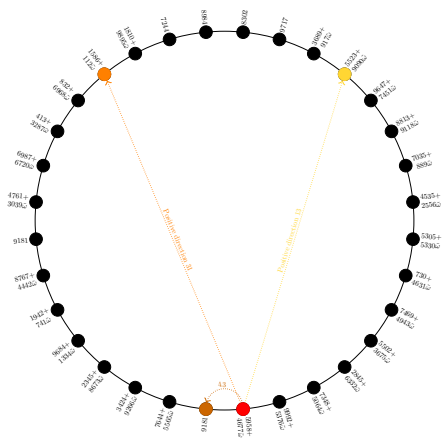
Bob secret key:  $\begin{bmatrix} 1 & 3 \\ 1 & 2 \end{bmatrix}$



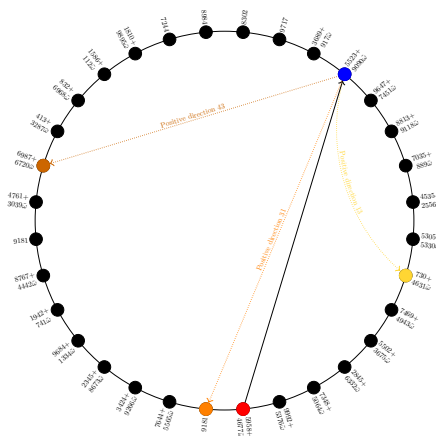
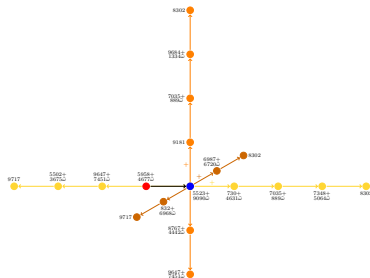
Bob secret key:  $l_1^3 l_2^2 l_3^2$



Alice secret key:  $151312$

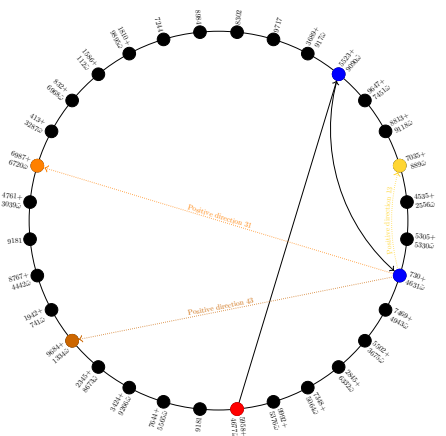
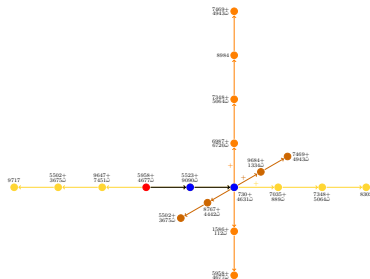


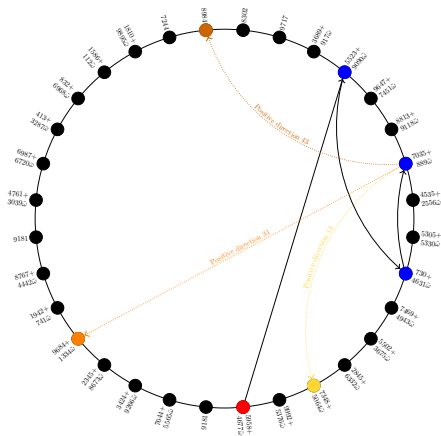
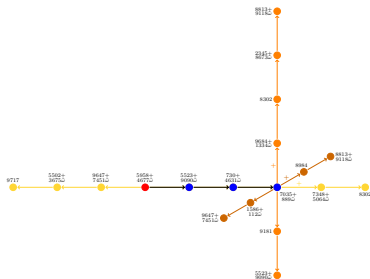
Alice secret key:  $l_1 l_2 l_3$



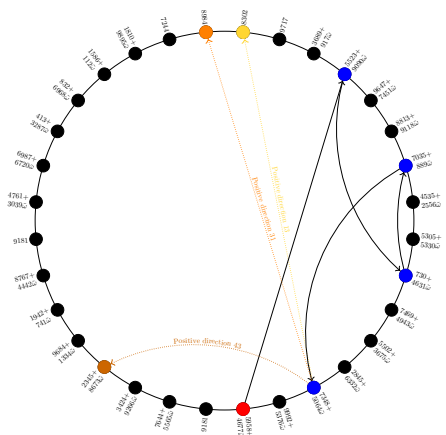
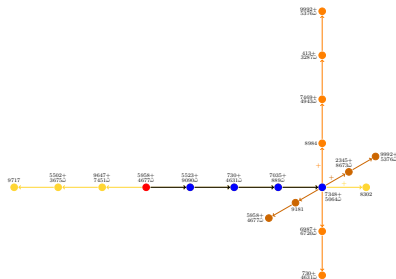


Alice secret key:  $151312$

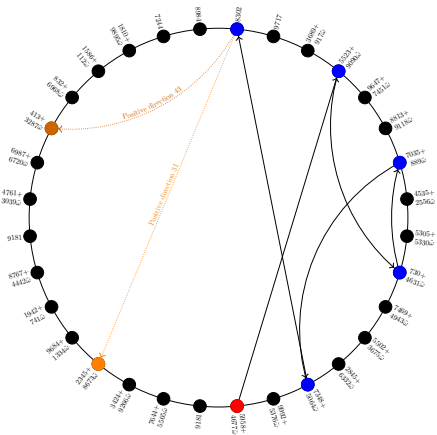
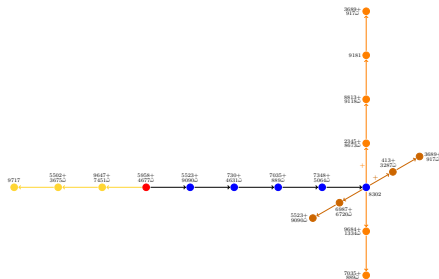


$$\begin{pmatrix} 5 \\ 1 \end{pmatrix} \begin{pmatrix} 3 \\ 2 \end{pmatrix} \begin{pmatrix} 2 \\ 3 \end{pmatrix}$$


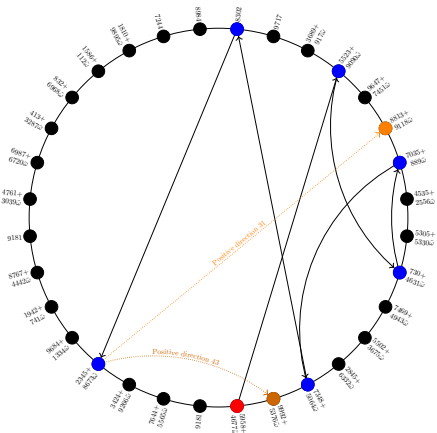
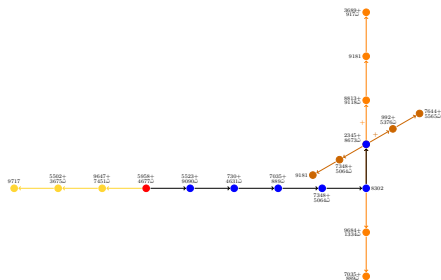
Alice secret key:  $\begin{smallmatrix} 15 & 13 & 12 \\ 1 & 2 & 1 \end{smallmatrix}$



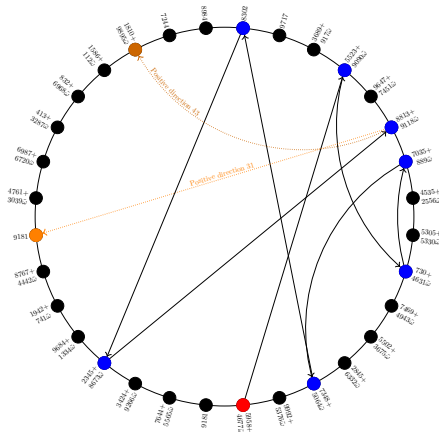
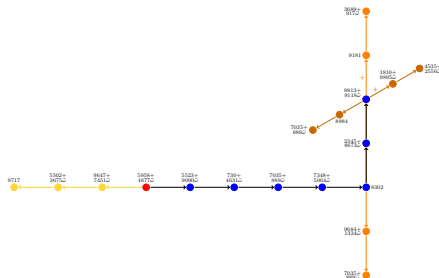
Alice secret key:  $151312$

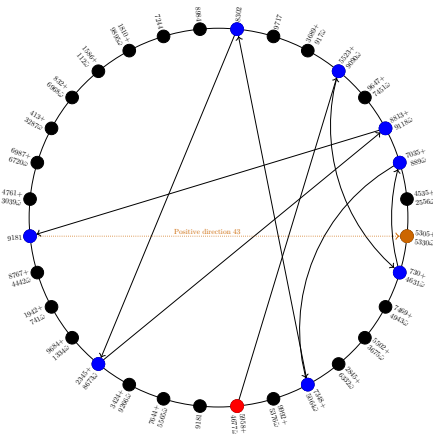
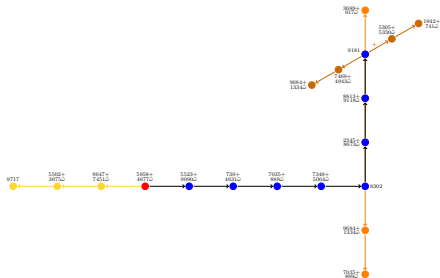


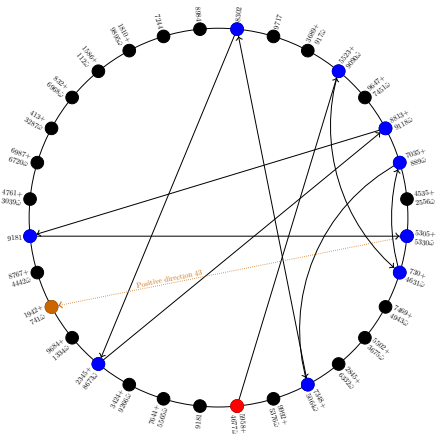
Alice secret key:  $151312$



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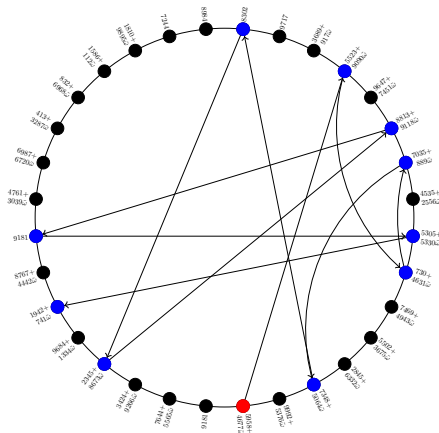
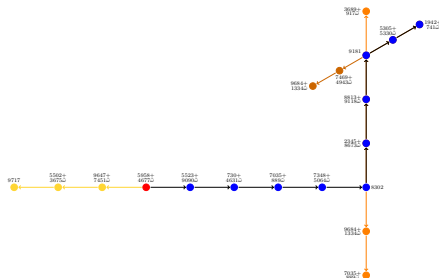


$$\begin{pmatrix} 5 \\ 1 \end{pmatrix} \begin{pmatrix} 3 \\ 2 \end{pmatrix} \begin{pmatrix} 2 \\ 3 \end{pmatrix}$$


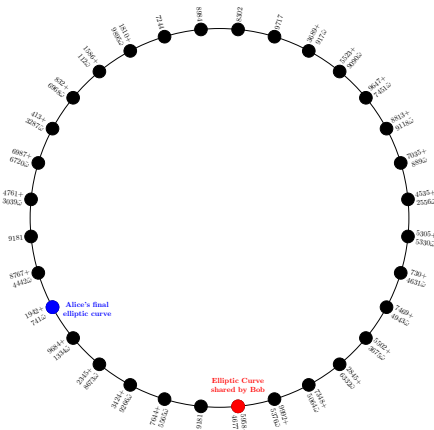
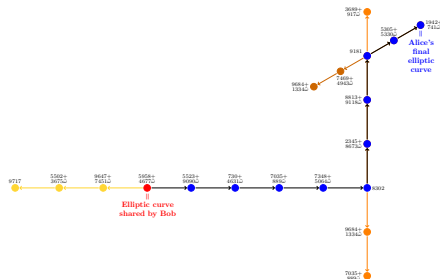
$$\begin{matrix} 5 & 3 & 2 \\ \downarrow & \downarrow & \downarrow \\ 1 & 2 & 3 \end{matrix}$$




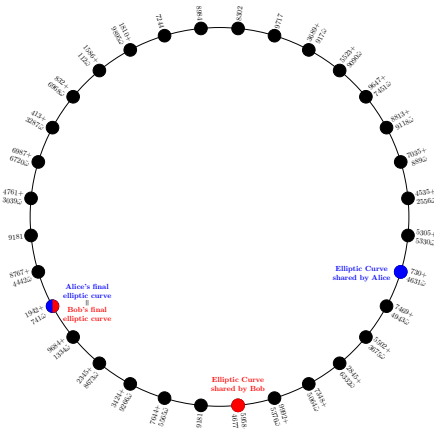
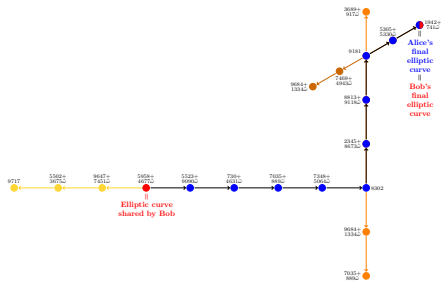
Alice secret key:  $151212$



Alice secret key:  $\begin{smallmatrix} 5 & 1 & 3 \\ 1 & 2 & 1 \\ 2 & 3 & 1 \end{smallmatrix}$



# OSIDH PROTOCOL - AN EXAMPLE



# SECURITY CONSIDERATIONS



For an order  $\mathcal{O}$  of conductor  $\ell^n M$ , we note that  $\mathcal{A}(\mathcal{O}) \simeq \text{SS}_{\mathcal{O}}^{pr}(\rho)$  and define

$$I = I_1 \times \dots \times I_t \subseteq \mathbb{Z}^t \quad \text{where } I_j = [-r_j, r_j].$$

The security of OSIDH depends on the following maps

$$I = \prod_{i=1}^t [-r_i, r_i] \longrightarrow \text{SS}_{\mathcal{O}}^{pr}(\rho) \longrightarrow \text{SS}(\rho)$$

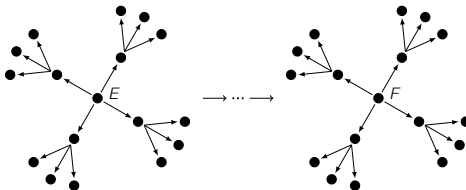
We want the first map to be injective and the second one to be surjective. The attack of Dartois and De Feo exploits the non-injectivity of the map  $I \rightarrow \text{SS}_{\mathcal{O}}^{pr}(\rho)$  to recover an endomorphism of  $E$ .

## Key generation

On one side,  $A$  begins with  $F = E$ .

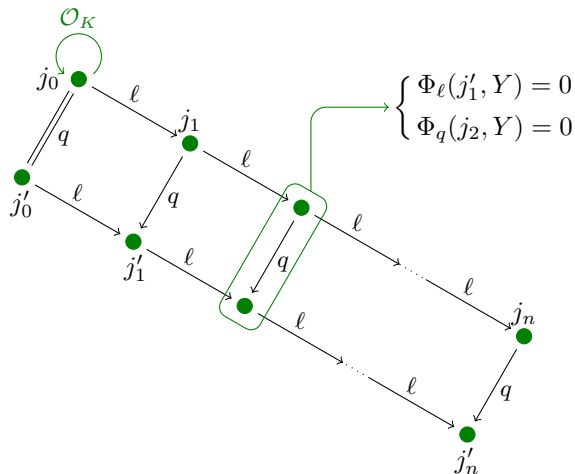
- Split primes: for each prime  $q_i$  in  $\mathcal{P}_S$ , choose a random  $s_i \in I_i$ , constructs the  $q_i$ -isogeny walk of length  $s_i$  while pushing forward the other direction as well as the  $q$ -clouds at each prime  $q$  in  $\mathcal{P}_A$  and  $\mathcal{P}_B$ .
- Non-split primes: for each prime choose a random walk in the cloud to a new curve  $F$  and push forward the remaining unused  $q$ -clouds.

The data  $F$  and  $q$ -isogeny chains at primes  $q$  in  $\mathcal{P}_S$  and  $q$ -clouds at primes  $q$  in  $\mathcal{P}_B$  constitute  $A$ 's public key.



# ADDING LEVEL STRUCTURE







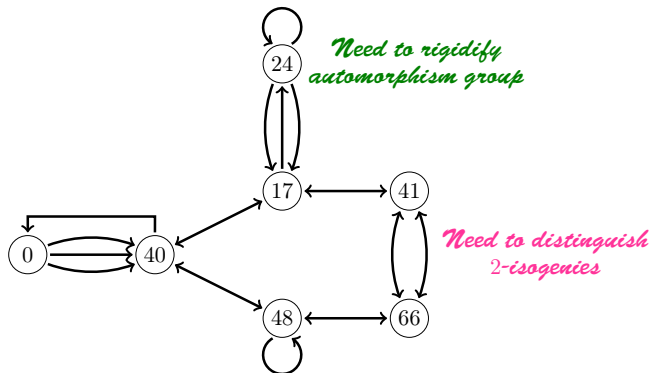
There are multiple reasons to add level structure to our construction:

- ▶ With an  $\ell$ -level structure, the extension of  $\ell$ -isogenies by modular correspondences allows one to automatically remove the dual isogeny (backtracking): there are  $\ell$  rather than  $\ell + 1$  extensions.

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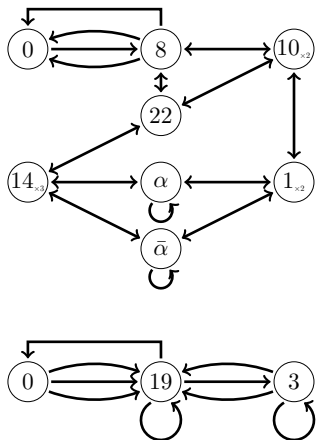
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- ▶ The modular isogeny chain is a potentially-non injective image of the isogeny chain.
- ▶ Rigidifying automorphisms should also shorten the distance to which we need to go in order to differentiate 2 points (two torsion of  $\mathcal{C}(\mathcal{O})$  may lift to non 2-torsion point in  $\mathcal{C}(\mathcal{O}, \Gamma)$ ).

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- ▶  $q$ -modular polynomial of higher level are smaller.

For any congruence subgroup  $\Gamma$  of level coprime to the characteristic, we have a covering  $G_S(E, \Gamma) \rightarrow G_S(E)$  whose vertices are pairs  $(E, \Gamma(P, Q))$  of supersingular elliptic curves/ $\mathbb{F}_{p^2}$  and a  $\Gamma$ -level structure, and edges are isogenies  $\psi : (E, \Gamma(P, Q)) \rightarrow (E', \Gamma(P', Q'))$  such that  $\psi(\Gamma(P, Q)) = \Gamma(P', Q')$ .



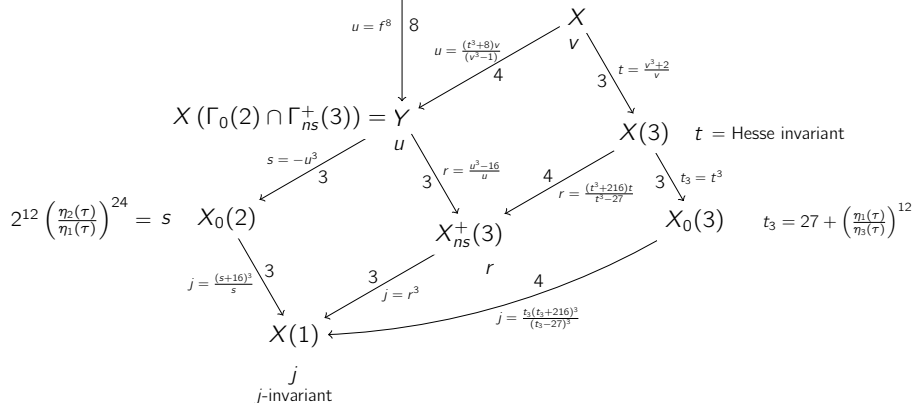
**Eg.**  $\Gamma_0(N)$ -structures.

Vertices  $(E, G)$  with  $G \leq E[N]$  of order  $N$   
 $\text{End}(E, G) = \{\alpha \in \text{End}(E) \mid \alpha(G) \subseteq G\}$   
 isomorphic to Eichler order.

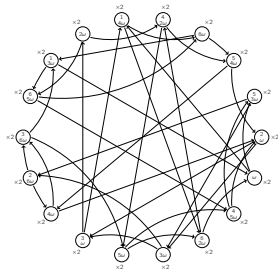
On the left the  $\Gamma_0(3)$  supersingular 2-isogeny graph.

$14 \leftrightarrow \{(E_0, G_1), (E_0, G_2), (E_0, G_3)\}$  where  $G_1, G_2, G_3$  maps to each other under the automorphism of  $E_0$ ; they define 3 isogenies to  $E_3$ .

Weber modular function  $\mathfrak{f} = f$   $W$   
such that  $j = \frac{(f^{24}-16)^3}{f^{24}}$



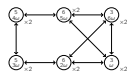
$X(\Gamma_0(2) \cap \Gamma(3))$



$X(\Gamma_0(2) \cap \Gamma_{ns}^+(3))$



$X(\Gamma(3))$



$X(\Gamma_0(2))$



$X(\Gamma_{ns}^+(3))$



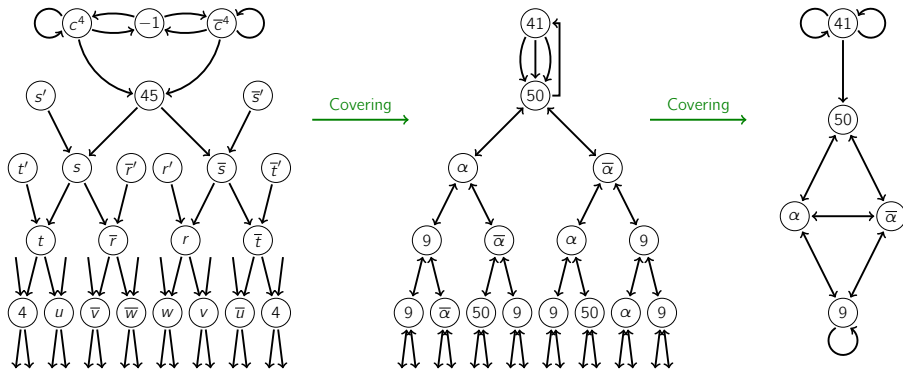
$X(\Gamma_0(3))$



$X(1)$

# WEBER INITIALIZATIONS - AN EXAMPLE OF GRAPHS

We orient the supersingular 2-isogeny graph in characteristic 61 by  $\mathbb{Q}(\sqrt{-7})$  and we then climb the Weber modular tower.



## Weber Modular Polynomials

$$\Psi_2(x, y) = (x^2 - y)y + 16x$$

$$\Psi_3(x, y) = x^4 - x^3y^3 + 8xy + y^4$$



# FORMAL ORIENTATIONS



Let  $\Omega$  be any commutative ring with multiplicative identity 1 and  $\Omega[[\tau]]$  its ring of formal power series.

## Definition

A formal group law  $\mathcal{F}$  defined over  $\Omega$  is a power series  $F \in \Omega[[X, Y]]$  such that

- ▶  $F(X, 0) = X$
- ▶  $F(X, Y) = F(Y, X)$
- ▶  $F(X, F(Y, Z)) = F(F(X, Y), Z)$

Notice that this implies that

$$F(X, Y) = X + Y + XYG(X, Y) \quad G \in \Omega[[X, Y]]$$

Generally a formal group law is just a group operation with no underlying group. However, if the ring  $\Omega$  is local and complete and the variables are assigned values from the maximal ideal  $\mathfrak{m}$  of  $\Omega$ , then the power series defining the formal group will converge in  $\Omega$ , thus giving rise to a group.

## Definition

The formal group associated to  $\mathcal{F}/\Omega$ , denoted  $\mathcal{F}(\Omega)$  or  $\mathcal{F}(\mathfrak{m})$ , is the set  $\mathfrak{m}$  together with the group operation

$$x \oplus_{\mathcal{F}} y = F(x, y) \quad \forall x, y \in \mathfrak{m}$$

For example, if  $R$  is a commutative ring with 1 and  $\Omega = R[[\tau]]$ , then  $\mathfrak{m} = \tau R[[\tau]]$  and a formal group law is a power series  $F \in R[[X, Y]]$  with zero constant term that makes  $(\tau R[[\tau]], \oplus_F)$  an abelian group.

## Proposition

Let  $(G, +)$  be an abelian group with identity  $0_G$ . Suppose there is a one-to-one map  $T : \tau R[[\tau]] \rightarrow G$  such that  $T(0) = 0_G$ , and a power series  $F \in R[[X, Y]]$  with zero constant term such that

$$T(g) + T(h) = T(F(g, h)) \quad \forall g, h \in \tau R[[\tau]]$$

Then  $F$  defines a formal group law.

**Example.** If  $G = R[[\tau]]$  under addition, and  $T$  is the inclusion  $\tau R[[\tau]] \hookrightarrow G$ ,  $F(X, Y) = X + Y$  defines the additive group law.

**Example.** If  $G = R[[\tau]]^\times$  under multiplication, and  $T$  is the  $g \mapsto 1 + g$ , then

$$T(g)T(h) = (1 + g)(1 + h) = 1 + g + h + gh = T(g + h + gh)$$

and  $F(X, Y) = X + Y + XY$  defines the multiplicative formal group law.

**Example.** If  $E$  is an elliptic curve over  $L = \text{Frac}(R[[\tau]])$  we can construct a map  $\tau R[[\tau]] \rightarrow E(L)$  and find a power series defining a formal group law.

## Definition

If  $\mathcal{F}$  and  $\mathcal{F}'$  are formal group laws, then a homomorphism from  $\mathcal{F} \rightarrow \mathcal{F}'$  is a power series  $U \in \tau R[[\tau]]$  such that

$$U(F(X, Y)) = F'(U(X), U(Y))$$

In other words,  $U$  is such that  $g \mapsto U(g)$  defines a homomorphism between the underlying groups.

Let  $\mathcal{F}_1, \mathcal{F}_2$  be two formal group laws associated with the power series  $F_1, F_2 \in R[[X, Y]]$  and with maps  $T_1, T_2$  to the abelian groups  $G_1, G_2$ . We can prove that if there are a group homomorphism  $\psi : G_1 \rightarrow G_2$  and a power series  $U \in \tau R[[\tau]]$  such that

$$\psi(T_1(g)) = T_2(U(g))$$

then  $U$  is a homomorphism of formal group (laws).

$$\begin{array}{ccc}
 \tau R[[\tau]] & \xrightarrow{T_1} & G_1 \\
 \downarrow U & & \downarrow \psi \\
 \tau R[[\tau]] & \xrightarrow{T_2} & G_2
 \end{array}$$

**Example.** Let  $G_1 = G_2 = G$ ,  $T_1 = T_2 = T$ ,  $F_1 = F_2 = F$ , and  $\psi(g) = ng$ ,  $n \in \mathbb{Z}$ . Then  $U = [n]$  is defined recursively by  $[0] = 0$ ,  $[1] = \tau$  and  $[i+1]U = [i]\tau \oplus_F \tau$ .

**Example.** For the additive formal group law,  $T$  is the inclusion  $\tau R[[\tau]] \hookrightarrow R[[\tau]]$  and we get  $ng = \psi(T(g)) = T(U(g)) = [n](g)$ . So that  $[n](\tau) = n\tau$ .

**Example.** For the multiplicative formal group law we have  $\psi(T(g)) = (1+g)^n$  and  $T(U(g)) = 1 + [n]g$  so that

$$[n](\tau) = \sum_{i=1}^n \binom{n}{i} \tau^i$$

Let  $E$  be an elliptic curve over a field  $K$ . We embed  $E$  in  $\mathbb{P}_K^2$  as a Weierstrass curve

$$W(X, Y, Z) = Y^2Z + a_1XYZ + a_3YZ^2 - X^3 - a_2X^2Z - a_4XZ^2 - a_6Z^3$$

with  $O = (0 : 1 : 0)$ . We choose local parameters at  $O$ :  $z = -X/Y$  and  $w = -Z/Y$ . In particular, the pair  $(z, w)$  satisfy an algebraic relation

$$f_E(z, w) = z^3 + a_2z^2w + a_4zw^2 + a_6w^3 - w + (a_1z + a_3w)w$$

which can be used for Hensel lifting

$$w(z) = z^3 + a_1z^4 + (a_1^2 + a_2)z^5 + \dots$$

to a local point at  $O$ .

## Lemma

We have  $W(\tau, -1, w(\tau)) = 0$  in  $R[[\tau]]$ . If  $f, g \in \tau R[[\tau]]$  and  $W(f, -1, g) = 0$  then  $g = w \circ f$ .

# FORMAL GROUP LAW OF AN ELLIPTIC CURVE

Let  $E$  be an elliptic curve over a field  $K$ . Let  $L$  be the quotient field of  $K[[\tau]]$ . We can consider points in  $E(L)$ . Let  $R$  be a subring of  $K$  containing 1 and all the  $a_i$ 's.

We construct a formal group law by embedding  $\tau R[[\tau]]$  into  $E(L)$  and stealing its group law.

Consider points of the form  $(z, 1, w) \in E(K)$ . We have an embedding

$$T : \tau R[[\tau]] \hookrightarrow E(L) \quad f \mapsto (f, -1, w(f))$$

and we can find a power series  $F$  which gives rise to a formal group law.

$$F(X, Y) = X + Y - a_1XY - a_2(X^2Y + XY^2) + \text{higher terms}$$

Let  $(R, \mathfrak{m})$  be any complete local  $K$ -algebra. We let  $\hat{E}$  be the formal completion of  $E$  at  $O$ . Then we have an isomorphism

$$\mathfrak{m} \xrightarrow{\cong} \hat{E}(R) \quad z \mapsto (z, w(z))$$

where  $\mathfrak{m}$  is equipped with the group structure  $z_1 \oplus z_2 = F_E(z_1, z_2)$ .



An isogeny of elliptic curves over  $K$  gives rise to a homomorphism of the corresponding formal group laws over  $K$ .

Let  $I : E \rightarrow E'$  be an isogeny over  $K$  given by

$$I(X, Y, Z) = (f_1(X, Y, Z), f_2(X, Y, Z), f_3(X, Y, Z))$$

We get

$$\frac{f_1(X, Y, Z)}{f_2(X, Y, Z)} = \frac{f_1(z, -1, s)}{f_2(z, -1, s)} \in \mathfrak{m}$$

and we can expand  $U = f_1/f_2$  as a power series, i.e.,  $U(\tau) = \sum_{i=1}^{+\infty} u_i \tau^i$ .

## Proposition

Let  $E, E', E''$  be elliptic curves over  $K$  and  $F, F', F''$  the associated formal group laws. If  $I : E \rightarrow E'$  is an isogeny, then  $U \in \text{Hom}(F, F')$ . This defines an embedding  $\text{Isog}(E, E') \hookrightarrow \text{Hom}(F, F')$ . If  $I' : E' \rightarrow E''$  and  $I'$  corresponds to  $U' \in \text{Hom}(F', F'')$  then  $I' \circ I$  corresponds to  $U' \circ U \in \text{Hom}(F, F'')$ .

Let  $F$  be the formal group law over  $R$  of  $E$ . Let  $g \in \tau R[[\tau]]$ .

$$[-1]T(g) = [-1](g, -1, w(g)) = \left( \frac{-g}{1 - a_1g - a_3w(g)}, -1, \frac{-w(g)}{1 - a_1g - a_3w(g)} \right)$$

and by the Lemma above this is  $T(\frac{-g}{1 - a_1g - a_3w(g)})$ . This means that

$$\widehat{[-1]} = \frac{-\tau}{1 - a_1\tau - a_3w(\tau)} = -\tau \sum_{n=0}^{+\infty} (a_1\tau + a_3w)^n$$

A similar calculation for  $[2]$  yields

$$\widehat{[2]} = 2\tau + \text{higher terms}$$

More in general, for any  $n \in \mathbb{Z}$ , formal scalar multiplication  $\widehat{[n]}$  satisfies:

$$\widehat{[n]} = nz + \text{higher terms}$$

In particular, by reversion of power series, if  $n$  is invertible in  $K$ , then the inverse of  $\widehat{[n]}$  is well-defined:

$$\widehat{[n]}^{-1} = \frac{1}{n}z + \dots$$

It follows that  $\mathbb{Z}_{(p)} \subseteq \text{End}(\widehat{E})$ .

**N.B.** Here we are indeed identifying  $z$  with  $(z, w)$  under  $\mathfrak{m} \cong \widehat{E}(R)$  we hereafter write simply  $\widehat{\alpha}(\tau) = \alpha_1 z + \alpha_2 z^2 + \dots$  for a formal morphism  $\widehat{\alpha}$ .

Let  $\alpha : E \rightarrow F$  be an isogeny of elliptic curves over  $K$ , whose degree  $n$  is invertible in  $K$ , let  $\beta$  be its dual isogeny, and let

$$\hat{\alpha} : \hat{E} \longrightarrow \hat{F},$$

be its formal completion, given by  $\hat{\alpha}(z) = \alpha_1 z + \alpha_2 z^2 + \dots$ .

Since  $\beta \circ \alpha = [n]$ , we have

$$\hat{\beta}(z) = \beta_1 z + \dots = \frac{n}{\alpha_1} z + \dots$$

and  $\hat{\alpha}$  is invertible in  $\text{Hom}(\hat{E}, \hat{F})$ , with inverse:

$$\hat{\alpha}^{-1}(z) = [\hat{n}]^{-1} \circ \hat{\beta}(z) = \frac{1}{\alpha_1} z + \dots$$

The isogeny is *normalized* if  $\alpha_1 = 1$ .

It follows that for  $p = \text{char}(k) > 0$ , we have

$$\mathbb{Z}_{(p)} \otimes_{\mathbb{Z}} \text{End}(E) \subseteq \text{End}(\widehat{E})$$

and more generally  $\mathbb{Z}_{(p)} \otimes_{\mathbb{Z}} \text{Hom}(E, F) \subseteq \text{Hom}(\widehat{E}, \widehat{F})$ . In fact the formal endomorphism ring contains the completion:

$$\mathbb{Z}_{(p)} \otimes_{\mathbb{Z}} \text{End}(E) \subseteq \text{End}(E)_{\mathfrak{P}} \subseteq \text{End}(\widehat{E}),$$

of the endomorphism ring at the prime

$$\mathfrak{P} = \text{Hom}(E^{\sigma}, E)\pi \subset \text{End}(E),$$

where  $\pi : E \rightarrow E^{\sigma}$  is the Frobenius  $p$ -isogeny.

$$\text{End}(E)_{\mathfrak{P}} \cong \begin{cases} \mathbb{Z}_p & \text{if } E \text{ is ordinary, or} \\ \mathcal{O}_{\mathfrak{P}} & \text{if } E \text{ is supersingular,} \end{cases}$$

where  $\mathcal{O}_{\mathfrak{P}}$  is the maximal  $\mathbb{Z}_p$ -order of the nonsplit quaternion algebra over  $\mathbb{Q}_p$ .

We use the principle that a formal isogeny of degree coprime to  $p$  is invertible to equip an elliptic curve  $E$  with formal quaternionic multiplication.

Suppose the  $p \equiv 11 \pmod{12}$ , and let  $E_0$  and  $E_1$  be elliptic curves oriented by

$$\mathbb{Z}[j] \cong \mathbb{Z}[\zeta_3] \text{ and } \mathbb{Z}[i] \cong \mathbb{Z}[\zeta_4],$$

respectively. Let  $\alpha : E_0 \rightarrow E$  and  $\beta : E_1 \rightarrow E$  be (smooth) isogenies of degree coprime to  $p$ .

$$j \circlearrowleft E_0 \xrightarrow{\alpha} \cdots \rightarrow \bullet \rightarrow E \leftarrow \bullet \xleftarrow{\beta} \cdots \leftarrow E_1 \circlearrowright i$$

We define:

$$\hat{j} = \hat{\alpha} \circ \hat{j} \circ \hat{\alpha}^{-1} \text{ and } \hat{i} = \hat{\beta} \circ \hat{i} \circ \hat{\beta}^{-1} \text{ in } \text{End}(\hat{E}).$$

Then we have an effective subring  $\mathbb{Z}_{(p)}[\hat{i}, \hat{j}] \subseteq \text{End}(\hat{E})$ .

Let  $E_0 \xrightarrow{\phi_0} E_1 \xrightarrow{\phi_1} \dots \xrightarrow{\phi_{n-1}} E_n$  be an  $\ell$ -isogeny chain. The formal group functor  $\mathcal{F}$  induces a formal  $\ell$ -isogeny chain:

$$\mathcal{F}(E_0) \xrightarrow{\mathcal{F}(\phi_0)} \mathcal{F}(E_1) \xrightarrow{\mathcal{F}(\phi_1)} \dots \xrightarrow{\mathcal{F}(\phi_{n-1})} \mathcal{F}(E_n),$$

and given an endomorphism  $\psi$  of  $E_0$ , we define  $\mathcal{F}(\psi)_0 = \mathcal{F}(\psi)$  and recursively, for each  $i$ , a formal endomorphism  $\mathcal{F}(\psi)_{i+1}$  of  $\mathcal{F}(E_{i+1})$ :

$$\mathcal{F}(\psi)_{i+1} = \mathcal{F}([\ell])^{-1} \circ \mathcal{F}(\phi_i) \circ \mathcal{F}(\psi)_i \circ \mathcal{F}(\hat{\phi}_i).$$

We derive conditions under which an endomorphism  $\phi$  of  $E_0$  induces an integral formal endomorphism of  $\mathcal{F}(E_i)$ .

The problem remains to effectively cut out  $\ell$ -torsion subgroups using formal endomorphisms: Given  $\hat{\alpha}$ , determine  $\ker(\hat{\alpha}) \cap E[\ell]$ , or more generally a map to  $\mathbb{M}_2(\mathbb{F}_\ell) = \text{End}(E[\ell])$ .

Since formal endomorphisms operate locally at  $O$ , one needs an algorithm for extending  $\hat{\alpha}$  to  $\hat{E} \times E[\ell] \rightarrow \hat{E} \times E[\ell]$ .

In order to extend formal endomorphisms, we need instead a formal canonical lift to  $\mathbb{Z}_p$  (characteristic 0) and interpolation.

WORK IN PROGRESS



THANK YOU FOR YOUR ATTENTION



# REFERENCES FOR THE FORMAL GROUP SECTION

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