

# Fermat's Last Theorem

## Modular Forms and Galois Representations

Leonardo Colò

March 7<sup>th</sup>, 2017

### Group Representations

**Definition.** A linear representation  $\rho$  of a group  $G$  on a  $K$ -vector space  $V$  is a set-theoretic action on  $V$  which preserves the linear structure, that is,

$$\begin{aligned}\rho(g)(v_1 + v_2) &= \rho(g)v_1 + \rho(g)v_2 & \forall v_1, v_2 \in V \\ \rho(g)(k \cdot v) &= k \cdot \rho(g)v & \forall k \in K, v \in V\end{aligned}$$

up to automorphisms of  $V$ . Unless otherwise mentioned, representation will mean finite-dimensional representation. We will call dimension of  $\rho$  (sometimes degree or rank of  $\rho$ ) the dimension of  $V$  as  $K$ -vector space.

**Definition.** A representation  $\rho$  of a group  $G$  is a group homomorphism

$$\rho : G \longrightarrow GL_n(K)$$

up to conjugation. We call  $n$  the dimension of  $\rho$ .

**Lemma 1.1.** *The two definitions above are equivalent.*

*Proof.* Suppose we are given a homomorphism

$$\rho : G \longrightarrow GL_n(K)$$

then define an action of  $G$  on  $K^n$  as follows:

$$g * v = \rho(g)v$$

It is easy to check that this action preserves the linear structure of  $K^n$ . It can also be shown that if  $\rho$  and  $\rho'$  are equivalent (i.e.,  $\rho' = \rho \circ c$  with  $c$  a conjugation) then  $\rho$  and  $\rho'$  give rise to the same action on  $K^n$  up to isomorphisms of  $K^n$ .

Viceversa, given an action of  $G$  on  $V = K^n$  we define a map

$$\begin{aligned}\rho : G &\longrightarrow GL_n(K) \\ g &\longrightarrow (g * \underline{e}_1, \dots, g * \underline{e}_n)\end{aligned}$$

where  $\{\underline{e}_1, \dots, \underline{e}_n\}$  is a basis for  $V$ . □

**Definition.** If  $G$  is a topological group, a continuous representation  $\rho$  of a group  $G$  is a continuous homomorphism

$$\rho : G \longrightarrow GL_n(K)$$

where the topology on  $GL_n(K)$  is given by the fact that  $GL_n(K) \subseteq M_{n \times n}(K)$  is open.

Equivalently, a continuous representation  $\rho$  of a group  $G$  is a continuous action of  $G$  on a  $K$  vector space, i.e., a continuous map

$$\rho : G \times V \longrightarrow V$$

which preserves the linear structure.

# Galois Representations

We will let  $\mathbb{Q}$  denote the field of rational numbers and  $\overline{\mathbb{Q}}$  denote the field of algebraic numbers, the algebraic closure of  $\mathbb{Q}$ . We will also let  $\mathcal{G}_{\mathbb{Q}}$  denote the group of automorphisms of  $\overline{\mathbb{Q}}$ , that is  $\text{Gal}(\overline{\mathbb{Q}}/\mathbb{Q})$ , the absolute Galois group of  $\mathbb{Q}$ .

An important technical point is that  $\mathcal{G}_{\mathbb{Q}}$  is naturally a topological group, a basis of open neighbourhoods of the identity being given by the subgroups  $\text{Gal}(\overline{\mathbb{Q}}/K)$  as  $K$  runs over subextensions of  $\overline{\mathbb{Q}}/\mathbb{Q}$  which are finite over  $\mathbb{Q}$ . In fact,  $\mathcal{G}_{\mathbb{Q}}$  is a profinite group, being identified with the inverse limit of discrete groups

$$\mathcal{G}_{\mathbb{Q}} = \varprojlim \text{Gal}(K/\mathbb{Q})$$

where  $K$  runs over finite normal subextensions of  $\overline{\mathbb{Q}}/\mathbb{Q}$ .

For each prime number  $p$  we may define an absolute value  $|\cdot|_p$  on  $\mathbb{Q}$  by setting

$$|\alpha|_p = p^{-r}$$

if  $\alpha = p^r a/b$  with  $a$  and  $b$  integers coprime to  $p$ . If we complete  $\mathbb{Q}$  with respect to this absolute value we obtain the field  $\mathbb{Q}_p$  of  $p$ -adic numbers, a totally disconnected, locally compact topological field. We will write  $\mathcal{G}_{\mathbb{Q}_p}$  for its absolute Galois group  $\text{Gal}(\overline{\mathbb{Q}_p}/\mathbb{Q}_p)$ . The absolute value  $|\cdot|_p$  has a unique extension to an absolute value on  $\overline{\mathbb{Q}_p}$  and  $\mathcal{G}_{\mathbb{Q}_p}$  is identified with the group of automorphisms of  $\overline{\mathbb{Q}_p}$  which preserve  $|\cdot|_p$ , or, equivalently, the group of continuous automorphisms of  $\overline{\mathbb{Q}_p}$ . For each embedding  $\overline{\mathbb{Q}} \hookrightarrow \overline{\mathbb{Q}_p}$  we obtain a closed embedding  $\mathcal{G}_{\mathbb{Q}_p} \hookrightarrow \mathcal{G}_{\mathbb{Q}}$ .

$\overline{\mathbb{Q}_p}/\mathbb{Q}_p$  is an infinite extension and  $\overline{\mathbb{Q}_p}$  is not complete. We will denote its completion by  $\mathbb{C}_p$ . The Galois group  $\mathcal{G}_{\mathbb{Q}_p}$  acts on  $\mathbb{C}_p$  and is in fact the group of continuous automorphisms of  $\mathbb{C}_p$ .

The elements of  $\mathbb{Q}_p$  (respectively  $\overline{\mathbb{Q}_p}$ ,  $\mathbb{C}_p$ ) with absolute value less than or equal to 1 form a closed subring  $\mathbb{Z}_p$  (respectively  $\mathcal{O}_{\overline{\mathbb{Q}_p}}$ ,  $\mathcal{O}_{\mathbb{C}_p}$ ). These rings are local with maximal ideals  $p\mathbb{Z}_p$  (respectively  $\mathfrak{m}_{\overline{\mathbb{Q}_p}}$ ,  $\mathfrak{m}_{\mathbb{C}_p}$ ) consisting of the elements with absolute value strictly less than 1. The field

$$\frac{\overline{\mathbb{Q}_p}}{\mathfrak{m}_{\overline{\mathbb{Q}_p}}} = \frac{\mathbb{C}_p}{\mathfrak{m}_{\mathbb{C}_p}}$$

is an algebraic closure of the finite field with  $p$  elements

$$\mathbb{F}_p = \frac{\mathbb{Z}}{p\mathbb{Z}}$$

and we will denote it by  $\overline{\mathbb{F}_p}$ . Thus we obtain a continuous map

$$\mathcal{G}_{\mathbb{Q}_p} \longrightarrow \mathcal{G}_{\overline{\mathbb{F}_p}}$$

which is surjective. Its kernel is called the inertia subgroup of  $\mathcal{G}_{\mathbb{Q}_p}$  and is denoted  $I_{\mathbb{Q}_p}$ . We want to focus here on attempts to describe  $\mathcal{G}_{\mathbb{Q}}$  via its representations. Perhaps the most obvious to consider are those representations

$$\mathcal{G}_{\mathbb{Q}} \longrightarrow GL_n(\mathbb{C})$$

with open kernel; these are called Artin representations and they are already very interesting. However one obtains a richer theory considering representations

$$\mathcal{G}_{\mathbb{Q}} \longrightarrow GL_n(\overline{\mathbb{Q}_l})$$

which are continuous with respect to the  $l$ -adic topology on  $GL_n(\overline{\mathbb{Q}_l})$ . We refer to these as  $l$ -adic representations.

# Examples of Representations

## Continuous Character

Suppose we have a group  $G$ . A one-dimensional continuous representation of  $G$  is given by a continuous homomorphism

$$\rho : G \longrightarrow GL_1(K) = K^\times$$

or, equivalently, by a continuous action of  $G$  on  $K$  which preserve the linear structure.

If  $K/\mathbb{Q}$  is a finite galois extension and  $L/\mathbb{Q}$  is a subextension, then the representation of  $\mathcal{G}al(K/\mathbb{Q})$  factors:

$$\begin{array}{ccc} \mathcal{G}al(K/\mathbb{Q}) & \xrightarrow{\rho} & K^\times \\ \pi \downarrow & \nearrow & \\ \mathcal{G}al(L/\mathbb{Q}) & \xrightarrow{\text{Ind}_{\mathcal{G}_{K/\mathbb{Q}}}^{\mathcal{G}_{L/\mathbb{Q}}} \rho} & \end{array}$$

## Cyclotomic Character

Suppose we have a prime  $p > 0$  and consider a compatible family of primitive  $p^n$ -th roots of unity

$$(\zeta_p, \zeta_{p^2}, \zeta_{p^3}, \dots, \zeta_{p^n}, \dots)$$

where the compatibility is given by the fact that

$$(\zeta_{p^n})^{p^n} = 1 \quad \text{and} \quad (\zeta_{p^n})^p = \zeta_{p^{n-1}}$$

Consider a group  $G$  with an action on the set of primitive  $p^i$ -th roots of unity such that

$$g * \zeta_{p^n} = \zeta_{p^n}^{a_n} \quad \text{where } a_n \in \left( \frac{\mathbb{Z}}{p^n \mathbb{Z}} \right)^\times \quad \text{and } a_n \equiv a_{n-1} \pmod{p^{n-1}}$$

then we have a compatible system

$$(a_n)_n \in \varprojlim \left( \frac{\mathbb{Z}}{p^n \mathbb{Z}} \right)^\times = \mathbb{Z}_p^\times$$

and we can define a continuous homomorphism

$$\begin{aligned} \rho : G &\longrightarrow \mathbb{Z}_p^\times \subseteq \mathbb{Q}_p^\times \\ g &\longrightarrow (a_n)_n \end{aligned}$$

It can be shown that  $\rho$  is a continuous representation.

## Representations Associated to an Elliptic Curve

Suppose we have an elliptic curve  $E/\mathbb{Q}$  and consider a prime  $p > 0$ . We define  $E[p^n]$  the  $p^n$ -torsion group. We have  $E[p^n] \subseteq \overline{\mathbb{Q}}$ .

$$E[p^n] = \{P \in E(\overline{\mathbb{Q}}) \mid [p^n] \cdot P = 0\}.$$

We have a compatible system where the maps are given by  $[p]$ , the multiplication by  $p$ .

$$E[p] \xleftarrow{[p]} E[p^2] \xleftarrow{[p]} E[p^3] \xleftarrow{[p]} \dots$$

Suppose we have a point  $P \equiv (x, y) \in E(\overline{\mathbb{Q}})$  and a group  $\mathcal{G} = \mathcal{G}al(\overline{\mathbb{Q}}/\mathbb{Q})$ . Then  $\mathcal{G}$  acts on  $E(\overline{\mathbb{Q}})$  in the following way:

$$g * P = (g(x), g(y)) \in E(\overline{\mathbb{Q}})$$

Furthermore, if  $P \in E[p^n]$  then  $g * P \in E[p^n]$ .

**Definition.** We define the  $p$ -adic Tate module attached to  $E$ :

$$T_p E = \varprojlim_n (E[p^n], [p])$$

Clearly there is an action of  $\mathcal{G}$  on this Tate module:  $\mathcal{G} \curvearrowright T_p E$

*Observation.* The key point in this construction is that we have a group law over an elliptic curve.

**Proposition 3.1.** *We have a group isomorphism*

$$E[n] \simeq \left( \frac{\mathbb{Z}}{n\mathbb{Z}} \right)^2$$

Then we have the following system

$$\begin{array}{ccccccc} E[p] & \longleftarrow^{[p]} & E[p^2] & \longleftarrow^{[p]} & E[p^3] & \longleftarrow & \dots \\ \parallel & & \parallel & & \parallel & & \\ \left( \frac{\mathbb{Z}}{p\mathbb{Z}} \right)^2 & \longleftarrow_{\pi} & \left( \frac{\mathbb{Z}}{p^2\mathbb{Z}} \right)^2 & \longleftarrow_{\pi} & \left( \frac{\mathbb{Z}}{p^3\mathbb{Z}} \right)^2 & \longleftarrow & \dots \end{array}$$

where the maps  $\pi$  are the canonical projections. Then we conclude that

$$T_p E = \varprojlim_n (E[p^n], [p]) = \varprojlim_n \left( \frac{\mathbb{Z}}{p^n\mathbb{Z}} \right)^2 = \mathbb{Z}_p^2$$

It might be convenient to work with

$$V_p E = T_p E \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \simeq \mathbb{Z}_p^2 \otimes_{\mathbb{Z}_p} \mathbb{Q}_p \simeq \mathbb{Q}_p^2$$

and we have an action of  $\mathcal{G}$  on  $V_p E$ .

## Representations Associated to an Abelian Variety

*Example.* Consider  $\mathbb{G}_m$ , the multiplicative group. We have

$$\mathbb{G}_m(\overline{\mathbb{Q}}) = \overline{\mathbb{Q}}^\times$$

Then we define

$$\mathbb{G}_m[p^n] = \{x \in \overline{\mathbb{Q}}_p^\times \mid x^{p^n} = 1\}$$

and we follow the construction we have already done for the  $p$ -torsion group of an elliptic curve. What we obtain is that  $T_p \mathbb{G}_m(\overline{\mathbb{Q}})$  is a free  $\mathbb{Z}_p$ -module of rank one: this is a general construction for the cyclotomic character.

*References.* See “Theory of  $p$ -adic Galois Representations” by J.M. Fontaine and Yi Ouyang. See “The Arithmetic of Elliptic Curves” by J.H. Silverman, Section III.7.3.

In general, given an abelian variety  $A$  of dimension  $g \geq 1$  we can use the same argument and construct the  $p$ -adic Tate module attached to  $A$ . It can be proved that

$$\begin{array}{ccccccc} A[p^n] & \simeq & \left( \frac{\mathbb{Z}}{p^n\mathbb{Z}} \right)^{2g} & & & & \\ A[p] & \longleftarrow^{[p]} & A[p^2] & \longleftarrow^{[p]} & A[p^3] & \longleftarrow & \dots \\ \parallel & & \parallel & & \parallel & & \\ \left( \frac{\mathbb{Z}}{p\mathbb{Z}} \right)^{2g} & \longleftarrow_{\pi} & \left( \frac{\mathbb{Z}}{p^2\mathbb{Z}} \right)^{2g} & \longleftarrow_{\pi} & \left( \frac{\mathbb{Z}}{p^3\mathbb{Z}} \right)^{2g} & \longleftarrow & \dots \end{array}$$

from which we conclude:

$$T_p A = \varprojlim_n (A[p^n], [p]) = \varprojlim_n \left( \frac{\mathbb{Z}}{p^n\mathbb{Z}} \right)^{2g} = \mathbb{Z}_p^{2g}$$

# Galois Representations Associated to a Modular Form

Consider a modular curve  $X$ . We have a Riemann surface  $X_{|\mathbb{C}}$  and we associate to it a complex abelian variety.

$X_{|\mathbb{C}}$  is a smooth curve of genus  $g$ . We have  $H_1(X, \mathbb{Z})$ , the abelianization of the fundamental group, which is a free abelian group of rank  $2g$ , i.e.,  $H_1(X, \mathbb{Z}) \simeq \mathbb{Z}^{2g}$ . Furthermore we consider  $H^0(X, \Omega_X^1)$ , the group of holomorphic 1-forms over  $X$ , which is a  $\mathbb{C}$ -vector space of dimension  $g$ .

We construct the Abel-Jacobi map

$$\begin{aligned} H_1(X, \mathbb{Z}) &\xrightarrow{\varphi} H^0(X, \Omega_X^1)^V \\ [\gamma] &\longmapsto \varphi([\gamma]) \quad \text{where } \varphi([\gamma])(\omega) = \int_{\gamma} \omega \end{aligned}$$

If  $\gamma$  is a path on  $X$  ( $\gamma : [0, 1] \rightarrow X$ ) and  $\omega$  is a differential on  $X$  then

$$\int_{\gamma} \omega = \int_0^1 \gamma^*(\omega)$$

It turns out that  $\varphi$  is injective and it is a group homomorphism.

$$H_1(X, \mathbb{Z}) \hookrightarrow H^0(X, \Omega_X^1)^V$$

and the image is a lattice of dimension  $2g$ :

$$\mathbb{Z}^{2g} \subseteq \mathbb{C}^g$$

**Definition.** We can construct an abelian variety

$$A = \frac{H^0(X, \Omega_X^1)^V}{H_1(X, \mathbb{Z})}$$

of dimension  $g$ . Observe that  $A \simeq \mathbb{C}^g / \Lambda$  where  $\Lambda$  is the lattice  $\mathbb{Z}^{2g}$ .

**Theorem 4.1** (Abel - Jacobi). *We have an isomorphism of algebraic varieties:*

$$A_{/\mathbb{Q}} \simeq \frac{\{D \in \text{Div}(X) \mid \deg D = 0\}}{\{D \in \text{Div}(X) \mid D \text{ is principal}\}} = \frac{\text{Div}^0(X)}{P(X)} = \text{Pic}^0(X)$$

Furthermore, whether a point  $O \in X$  is fixed, we have the following map

$$\begin{aligned} u_O : X &\longrightarrow \text{Pic}^0(X) = \frac{\text{Div}^0(X)}{P(X)} \\ Q &\longrightarrow [(Q) - (O)] \end{aligned}$$

When  $g = 1$  this map is an isomorphism. In general it is still true that:

**Proposition 4.2.** *If the genus  $g \geq 1$ , the map  $u_O$  is an embedding*

**Definition.** We indicate  $A$  as the Jacobian of  $X$ :

$$A = \text{Jac}(X)_{/\mathbb{Q}}$$

*References.* See ‘‘Abel-Jacobi theorem’’ by Seddik Gmira.

## Hecke Algebra and Shimura Construction

**Definition.** Suppose  $\Gamma = \Gamma_1(N)$  and consider  $d \in (\mathbb{Z}/N\mathbb{Z})^\times$  ( $N$  is the level of  $\Gamma$ ). We define the Diamond operator  $\langle d \rangle$  to be the map such that

$$\langle d \rangle f(E, \xi, \omega) = f(E, d\xi, \omega)$$

**Definition.** If  $p$  is a prime not dividing  $N$ , the level of  $\Gamma$ , then define the Hecke operator  $T_p$  acting on the space  $S_2(\Gamma)$  by the formula

$$T_p(f) = \frac{1}{p} \sum_{i=0}^{p-1} f\left(\frac{\tau+i}{p}\right) + p\langle p \rangle f(p\tau)$$

**Definition.** If  $p$  is a prime dividing  $N$ , the level of  $\Gamma$ , then define the Hecke operator  $U_p$  acting on the space  $S_2(\Gamma)$  by the formula

$$U_p(f) = \frac{1}{p} \sum_{i=0}^{p-1} f\left(\frac{\tau+i}{p}\right) = \sum_{p|n} a_n q^{\frac{n}{p}}$$

Consider  $\mathbb{T}$  the Hecke Algebra, i.e., the subring of  $\text{End}_{\mathbb{C}}(S_2(\Gamma))$  generated over  $\mathbb{C}$  by all the Hecke operators  $T_p$  for  $p \nmid N$ ,  $U_q$  for  $q \mid N$ , and  $\langle d \rangle$  acting on  $S_2(\Gamma)$ .

$$\mathbb{T} \subseteq S_2(\Gamma)^V = H^0(X, \Omega'_X)$$

We have an action of  $\mathbb{T}$  on  $Jac(X)_{/\mathbb{Q}}$  via duality that fixes  $\Lambda = H_1(X, \mathbb{Z})$ ; for  $T \in \mathbb{T}$  we call this action

$$\varphi_T : Jac(X) \longrightarrow Jac(X)$$

Suppose we have  $f \in S_2(\Gamma)$  an eigenform for  $\mathbb{T}$ . Then  $T(f) = a_T f$  where  $a_T \in \overline{\mathbb{Q}}$ . We call  $K_f$  the field generated over  $\mathbb{Q}$  by all the eigenvalues associated to  $f$ :  $K_f = \mathbb{Q}(\{a_T\}_T)$ . It is possible to prove that  $K_f/\mathbb{Q}$  is a finite extension.

We have a ring morphism

$$\begin{aligned} \Psi_f : \mathbb{T} &\longrightarrow K_f \\ T &\longrightarrow a_T \end{aligned}$$

We have  $\mathbb{T} \curvearrowright Jac(X)$ . Define

$$I_f = \ker \Psi_f$$

and set

$$A_f = \frac{Jac(X)}{I_f \cdot Jac(X)}$$

It turns out that  $A_f$  is an abelian variety and we call it the variety associated to  $f$ . It is easy to observe that  $I_f$  annihilates  $A_f$  and therefore  $\mathbb{T}/I_f \subseteq \text{End}(A_f)$ .

**Lemma 4.3.**

$$\dim A_f = [K_f : \mathbb{Q}]$$

*In particular, if  $K_f = \mathbb{Q}$ , then  $A_f$  is an elliptic curve.*

Suppose now we have a prime  $l$ . To the abelian variety  $A_f$  we can associate the  $l$ -adic Tate module  $T_l A_f$ .

$T_l A_f$  is a  $\mathbb{Z}_l$  free module of rank  $2[K_f : \mathbb{Q}]$  and we can construct

$$V_l A_f = T_l A_f \otimes_{\mathbb{Z}_l} \mathbb{Q}_l$$

$V_l A_f$  is a free module over  $K_f \otimes_{\mathbb{Q}_l} \mathbb{Q}_l$  of rank 2 with a linear action of  $\mathcal{G}_{\mathbb{Q}}$  (Galois Representation). Consider the splitting behaviour of  $l$  in  $\mathcal{O}_{K_f}$ :

$$l\mathcal{O}_{K_f} = \mathfrak{P}_1^{e_1} \cdots \mathfrak{P}_t^{e_t}$$

then

$$K_f \otimes_{\mathbb{Q}_l} \mathbb{Q}_l = \prod_{i=1}^t (K_f)_{\mathfrak{P}_i}$$

where  $(K_f)_{\mathfrak{P}}$  is the completion of  $K_f$  with respect to  $\mathfrak{P}$ . Then we can write

$$V_l A_f = \bigoplus_{i=1}^t V_{l,i}$$

where  $V_{l,i}$  is a  $(K_f)_{\mathfrak{P}_i}$ -vector space of dimension 2. For each  $i$  we have

$$\rho_i : \mathcal{G}_{\mathbb{Q}} \longrightarrow GL_2(K_{f_{\mathfrak{P}_i}})$$

a representation of dimension 2.

*References.* See “A First Course in Modular Forms” - F. Diamond and J. Shurman

## From Modular Forms to Galois Representations

*Notation.* We define  $\mathbb{T}_{\mathbb{Z}}$  to be the ring generated over  $\mathbb{Z}$  by the Hecke operators  $T_n$  and  $\langle d \rangle$  acting on the space  $S_2(\Gamma, \mathbb{Z})$ .

More generally, if  $A$  is any ring, we define  $\mathbb{T}_A$  to be the  $A$ -algebra  $\mathbb{T}_{\mathbb{Z}} \otimes A$ . This Hecke ring acts on the space  $S_2(\Gamma, A)$  in a natural way.

Finally, we will write  $J_{\Gamma}$  for the jacobian variety of  $X_{\Gamma}$ .

In this section we suppose that  $f = \sum_n a_n(f)q^n$  is a newform of weight 2 and level  $N_f$ .

**Definition.** We define the old subspace of  $S_2(\Gamma)$  to be the space spanned by those functions which are of the form  $g(az)$ , where  $g$  is in  $S_2(\Gamma_1(M))$  for some  $M < N_f$  and  $aM$  dividing  $N_f$ . We define the new subspace of  $S_2(\Gamma)$  to be the orthogonal complement of the old subspace with respect to the Petersson scalar product. A normalized eigenform in the new subspace is called a newform of level  $N_f$ .

*Recall.* The spaces  $S_2(\Gamma)$  are equipped with a natural Hermitian inner product given by the Petersson scalar product:

$$\langle f, g \rangle = \frac{i}{8\pi} \int_{X_{\Gamma}} \omega_f \wedge \bar{\omega}_g = \int_{\mathcal{H}/\Gamma} f(\tau) \bar{g}(\tau) dx dy$$

Let  $K_f$  denote the number field in  $\mathbb{C}$  generated by the Fourier coefficients  $a_n(f)$ . Let  $\psi_f$  denote the character of  $f$ , i.e., the homomorphism  $(\mathbb{Z}/N_f\mathbb{Z})^{\times} \rightarrow K_f^{\times}$  defined by mapping  $d$  to the eigenvalue of  $\langle d \rangle$  on  $f$ .

*Recall.* The construction of Shimura that we have seen before associates to  $f$  (or rather, to the orbit  $[f]$  of  $f$  under  $\mathcal{G}_{\mathbb{Q}}$ ) an abelian variety  $A_f$  of dimension  $[K_f : \mathbb{Q}]$ .

Let  $f = \sum_n a_n q^n$  be an eigenform on  $\Gamma$  with (not necessarily rational) Fourier coefficients, corresponding to a surjective algebra homomorphism  $\lambda_f : \mathbb{T}_{\mathbb{Q}} \rightarrow K_f$ . Let  $I_f \subseteq \mathbb{T}_{\mathbb{Z}}$  be the ideal  $\ker(\lambda_f) \cap \mathbb{T}_{\mathbb{Z}}$ . The image  $I_f(J_{\Gamma})$  is a (connected) subabelian variety of  $J_{\Gamma}$  which is stable under  $\mathbb{T}_{\mathbb{Z}}$  and is defined over  $\mathbb{Q}$ .

*Definition.* The abelian variety  $A_f$  associated to  $f$  is the quotient

$$A_f = J_{\Gamma}/I_f(J_{\Gamma})$$

$A_f$  is defined over  $\mathbb{Q}$  and depends only on  $[f]$ , and its endomorphism ring contains  $\mathbb{T}_{\mathbb{Z}}/I_f$  which is isomorphic to an order in  $K_f$ .

This abelian variety is a certain quotient of  $J_1(N_f)$ , and the action of the Hecke algebra on  $J_1(N_f)$  provides an embedding

$$K_f \hookrightarrow \text{End}_{\mathbb{Q}}(A_f) \otimes \mathbb{Q}.$$

We saw also that for each prime  $l$  the Tate module  $\mathcal{T}_l(A_f) \otimes_{\mathbb{Z}_l} \mathbb{Q}_l$  becomes a free module of rank two over  $K_f \otimes \mathbb{Q}_l$ . The action of the Galois group  $\mathcal{G}_{\mathbb{Q}}$  on the Tate module commutes with that of  $K_f$ , so that a choice of basis for the Tate module provides a representation

$$\mathcal{G}_{\mathbb{Q}} \longrightarrow GL_2(K_f \otimes \mathbb{Q}_l)$$

As  $K_f \otimes \mathbb{Q}_l$  can be identified with the product of the completions of  $K_f$  at its primes over  $l$ , we obtain from  $f$  certain 2-dimensional  $l$ -adic representations of  $\mathcal{G}_{\mathbb{Q}}$ .

### **$l$ -adic Representations**

In this discussion, we fix a prime  $l$  and a finite extension  $K$  of  $\mathbb{Q}_l$ . We let  $\mathcal{O}$  denote the ring of integers of  $K$ ,  $\lambda$  the maximal ideal and  $k$  the residue field. We shall consider  $l$ -adic representations with coefficients in finite extensions of our fixed field  $K$ . We regard  $K$  as a subfield of  $\overline{\mathbb{Q}_l}$  and fix embeddings  $\overline{\mathbb{Q}} \longrightarrow \overline{\mathbb{Q}_l}$  and  $\overline{\mathbb{Q}} \longrightarrow \mathbb{C}$ . If  $K'$  is a finite extension of  $K$  with ring of integers  $\mathcal{O}'$ , then we say that an  $l$ -adic representation  $\mathcal{G}_l \longrightarrow GL_2(K')$  is good (respectively, ordinary, semistable) if it is conjugate over  $K'$  to a representation  $\mathcal{G}_l \longrightarrow GL_2(\mathcal{O}')$  which is good (respectively, ordinary, semistable).

**Definition.** Let  $G$  be any topological group; by a finite  $\mathcal{O}[G]$ -module we shall mean a discrete  $\mathcal{O}$ -module of finite cardinality with a continuous action of  $G$ . By a profinite  $\mathcal{O}[G]$ -module we shall mean an inverse limit of finite  $\mathcal{O}[G]$ -modules.

If  $M$  is a profinite  $\mathcal{O}[\mathcal{G}_l]$ -module then we will call  $M$

- good, if for every discrete quotient  $M'$  of  $M$  there is a finite flat group scheme  $\mathcal{F}/\mathbb{Z}_l$  such that  $M' \simeq \mathcal{F}(\overline{\mathbb{Q}_l})$  as  $\mathbb{Z}_l[\mathcal{G}_l]$ -modules;
- ordinary, if there is an exact sequence

$$(0) \longrightarrow M^{(-1)} \longrightarrow M \longrightarrow M^{(0)} \longrightarrow (0)$$

of profinite  $\mathcal{O}[\mathcal{G}_l]$ -modules such that  $I_l$  acts trivially on  $M^{(0)}$  and by  $\epsilon$  on  $M^{(-1)}$  (equivalently, if and only if for all  $\sigma, \tau \in I_l$  we have  $(\sigma - \epsilon(\sigma))(\tau 1) = 0$  on  $M$ );

- semistable, if  $M$  is either good or ordinary.

Suppose that  $R$  is a complete Nöetherian local  $\mathcal{O}$ -algebra with residue field  $k$ . We will call a continuous representation  $\rho : \mathcal{G}_l \rightarrow GL_2(R)$  good, ordinary or semistable, if

$$\det \rho|_{I_l} = \epsilon \quad (\text{cyclotomic character})$$

and if the underlying profinite  $\mathcal{O}[\mathcal{G}_l]$ -module,  $M_{\rho}$  is good, ordinary or semistable.

**Definition.** A representation  $\rho$  of  $\mathcal{G}_{\mathbb{Q}}$  is said to be unramified at  $p$  if  $\rho$  is trivial on the inertia group  $I_p$ .

*Observation.* If  $\rho$  is unramified at  $p$  then  $\rho(\text{Frob}_p)$  is well defined.

Let  $K'_f$  denote the  $K$ -algebra in  $\overline{\mathbb{Q}_l}$  generated by the Fourier coefficients of  $f$ . Thus  $K'_f$  is a finite extension of  $K$ , and it contains the completion of  $K_f$  at the prime over  $l$  determined by our choice of embeddings. We let  $\mathcal{O}'_f$  denote the ring of integers of  $K'_f$  and write  $k'_f$  for its residue field. We define

$$\rho_f : \mathcal{G}_{\mathbb{Q}} \longrightarrow GL_2(K'_f)$$

as the pushforward of  $\mathcal{G}_{\mathbb{Q}} \longrightarrow GL_2(K_f \otimes \mathbb{Q}_l)$  by the natural map  $K_f \otimes \mathbb{Q}_l \longrightarrow K'_f$ . We assume the basis is chosen so that  $\rho_f$  factors through  $GL_2(\mathcal{O}'_f)$ . We also let  $\psi'_f$  denote the finite order  $l$ -adic character

$$\mathcal{G}_{\mathbb{Q}} \rightarrow \text{Gal}(\mathbb{Q}(\zeta_{N_f})/\mathbb{Q}) \longrightarrow (K'_f)^{\times}$$

obtained from  $\psi_f$ .



The following theorem lists several fundamental properties of the  $l$ -adic representations  $\rho_f$  obtained from Shimura's construction. In the statement we fix  $f$  as above and write simply  $N$ ,  $a_n$ ,  $\rho$ ,  $\psi$ ,  $\psi'$  and  $K'$  for  $N_f$ ,  $a_n(f)$ ,  $\rho_f$ ,  $\psi_f$ ,  $\psi'_f$  and  $K'_f$  respectively.

**Theorem 5.1.** *The  $l$ -adic representation*

$$\rho : \mathcal{G}_{\mathbb{Q}} \longrightarrow GL_2(K')$$

has the following properties.

(a) If  $p \nmid N_f$  then  $\rho$  is unramified at  $p$  and  $\rho(\text{Frob}_p)$  has characteristic polynomial

$$X^2 - a_p X + p\psi(p)$$

(b)  $\det(\rho)$  is the product of  $\psi'$  with the  $l$ -adic cyclotomic character  $\epsilon$ , and  $\rho(c)$  is conjugate to

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

(c)  $\rho$  is absolutely irreducible.

(d) The conductor  $N(\rho)$  is the prime-to- $l$ -part of  $N$ .

(e) Suppose that  $p \neq l$  and  $p \parallel N$ . Let  $\chi$  denote the unramified character  $\mathcal{G}_p \longrightarrow (K')^\times$  satisfying  $\chi(\text{Frob}_p) = a_p$ . If  $p$  does not divide the conductor of  $\psi$ , then  $\rho|_{\mathcal{G}_p}$  is of the form

$$\begin{pmatrix} \chi^\epsilon & * \\ 0 & \chi \end{pmatrix}$$

If  $p$  divides the conductor of  $\psi$ , then  $\rho|_{\mathcal{G}_p}$  is of the form

$$\chi^{-1}\epsilon\psi'|_{\mathcal{G}_p} \oplus \chi$$

(f) If  $l \nmid 2N$ , then  $\rho|_{\mathcal{G}_l}$  is good. Moreover,  $\rho|_{\mathcal{G}_l}$  is ordinary if and only if  $a_l$  is a unit in the ring of integers of  $K'$ , in which case  $\rho_{I_l}(\text{Frob}_l)$  is the unit root of the polynomial  $X^2 - a_l X + l\psi(l)$ .

(g) If  $l$  is odd and  $l \parallel N$ , but the conductor of  $\psi$  is not divisible by  $l$ , then  $\rho|_{\mathcal{G}_l}$  is ordinary and  $\rho_{I_l}(\text{Frob}_l) = a_l$ .

*Proof.* Recall that  $J_1(N)$  has good reduction at those prime  $p$  that do not divide  $N$ . Then the action of  $\mathcal{G}_p$  on  $V_l A_f$  is unramified.

(a) The key ingredient is the Eichler-Shimura congruence relation (Theorem 1.29 on the notes):

**Theorem 5.2.** *If  $p \nmid N$  then the endomorphism  $T_p$  of  $J_{\Gamma/\mathbb{F}_p}$  satisfies*

$$T_p = F + \langle p \rangle F'$$

where  $F$  is the Frobenius endomorphism and  $F'$  is the dual endomorphism (Verschiebung) on  $J_{\Gamma/\mathbb{F}_p}$ .

Recall that  $J_1(N)$  has good reduction at those prime  $p$  not dividing  $N$ ; so the action of  $\mathcal{G}_p$  on  $T_l A_f \otimes_{\mathbb{Z}_l} \mathbb{Q}_l$  is unramified and it is in fact described by the action of  $\text{Frob}_p \in \mathcal{G}_{\mathbb{F}_p}$  on the Tate module of its reduction. But this is given by the Frobenius endomorphism  $F$  whose characteristic polynomial has been already computed (Corollary 1.41 on the notes):

**Lemma 5.3.** *For  $p$  not dividing  $Nl$ , the characteristic polynomial of  $F$  on the  $\mathbb{T}_{\mathbb{Q}_l}$ -module  $\nu$  is*

$$X^2 - T_p X + \langle p \rangle p = 0$$

(The proof of the Lemma consists in multiplying the Shimura congruence relation by  $F$  and observing that  $FF' = p$ ).

*References.* See "Introduction to the Arithmetic of Automorphic Functions" and "On the Factors of the Jacobian Variety of a Modular Function Field" by Goro Shimura.

- (b) The first statement follows from (a) applying the Chebotarev density Theorem. The second assertion is a consequence of the fact that  $\psi(-1) = 1$ .
- (c) It was proved by Ribet by contradiction to the following theorem assuming the reducibility of the representation (Theorem 1.24 on the notes):

**Theorem 5.4.** *Let  $f \in S_2(\Gamma_1(N))$ . The coefficients  $a_n \in \mathbb{C}$  satisfy the inequality*

$$|a_n| \leq c(f)\sigma_0(n)\sqrt{n}$$

where  $c(f)$  is a constant depending only on  $f$ , and  $\sigma_0(n)$  denotes the number of positive divisors on  $n$ .

In “On  $l$ -adic Representation Attached to Modular Forms II”, Ribet showed that, assuming the reducibility of the representation, we can conclude that Theorem 5.4 is false for infinitely many primes  $p$ ; indeed, we get an equality  $a_p = 1 + p^{k-1}$  for  $k = 2$  (weight of  $f$ ).

- (d)-(e) They follow from a deep result of Carayol based on the work of Langlands, Deligne and others characterizing  $\rho|_{\mathcal{G}_p}$  in terms of  $\psi|_{\mathcal{G}_p}$ .
- (f) The first assertion follows from the fact that  $A_f$  has good reduction at  $l$  if  $l \nmid N$ . The second statement follows from the Eichler-Shimura congruence relation (Theorem 5.2).
- (g) It follows from the work of Deligne - Rapoport.

□

### mod $l$ Representations

Let  $K$  be an extension of  $\mathbb{Q}_l$  and let  $\mathcal{O}_K$  denotes its ring of integers. Suppose  $\mathfrak{m}$  the maximal ideal of  $\mathcal{O}_K$  and call  $k$  the residue field.

If  $\rho : \mathcal{G}_{\mathbb{Q}} \rightarrow GL_d(K)$  is an  $l$ -adic representation (i.e., a continuous representation  $\mathcal{G}_{\mathbb{Q}} \rightarrow GL_d(K)$  where  $K$  is a finite extension of  $\mathbb{Q}_l$  and  $\rho$  is unramified at all but finitely many primes) then the image of  $\rho$  is compact, and hence  $\rho$  can be conjugated to a homomorphism  $\mathcal{G}_{\mathbb{Q}} \rightarrow GL_d(\mathcal{O}_K)$ . Reducing modulo the maximal ideal  $\mathfrak{m}$  gives a residual representation

$$\bar{\rho} : \mathcal{G}_{\mathbb{Q}} \longrightarrow GL_d(k)$$

This representation may depend on the particular  $GL_d(K)$ -conjugate of  $\rho$  chosen, but its semisimplification

$$\bar{\rho}^{ss}$$

(i.e., the unique semi-simple representation with the same Jordan-Hölder factors) is uniquely determined by  $\rho$ .

In our situation we have  $K_f$  which is a finite extension of  $\mathbb{Q}_l$  and an  $l$ -adic representation  $\rho_f : \mathcal{G}_{\mathbb{Q}} \longrightarrow GL_2(K_f)$ . Now define

$$\bar{\rho}_f : \mathcal{G}_{\mathbb{Q}} \longrightarrow GL_2(k_f)$$

the semi-simplification of the reduction of  $\rho_f$ . Assertions analogous to those in Theorem 5.1 hold for  $\bar{\rho} = \bar{\rho}_f$ , except that

- The representation need not be absolutely irreducible (as in (c)). However if  $l$  is odd, one checks using (b) that  $\bar{\rho}$  is irreducible if and only if it is absolutely irreducible.
- In (d), one only has divisibility of the prime-to- $l$  part of  $N_f$  by  $N(\bar{\rho})$ .

**Proposition 5.5.** *Suppose that  $p$  is a prime such that  $p \mid N_f$ ,  $p \not\equiv 1 \pmod{l}$  and  $\bar{\rho}_f$  is unramified at  $p$ . Then  $\text{tr}(\bar{\rho}_f(\text{Frob}_p))^2 = (p+1)^2$  in  $k_f$ .*

## Artin Representations

The theory of Hecke operators and newforms extends to modular forms on  $\Gamma_1(N)$  of arbitrary weight. The construction of  $l$ -adic representations associated to newforms was generalized to weight greater than 1 by Deligne using étale cohomology. There are also Galois representations associated to newforms of weight 1 by Deligne and Serre, but an essential difference is that these are Artin representations.

**Theorem 5.6** (Deligne - Serre). *Let  $N \in \mathbb{N}$  and consider  $\chi$  an odd Dirichlet character. Let  $0 \neq g = \sum_n a_n(g)q^n \in M_1(N, \chi)$  be a normalised eigenform for the Hecke operators. Then there exists a 2-dimensional complex Galois representation*

$$\rho : \mathcal{G}_{\mathbb{Q}} \longrightarrow GL_2(\mathbb{C})$$

that is unramified at all primes  $p$  that do not divide  $N$  and such that

$$\text{Tr}(\text{Frob}_p) = a_p \quad \text{and} \quad \det(\text{Frob}_p) = \chi(p)$$

for all primes  $p \nmid N$ . Such a representation is irreducible if and only if  $g$  is a cusp form.

*Sketch of proof.* If  $f$  is as in the hypothesis, then  $f$  is uniquely associated to two Dirichlet characters  $\phi, \psi$  that (raised to modulo  $N$ ) have product  $\chi$ . Hence the map  $\rho : \mathcal{G}_{\mathbb{Q}} \longrightarrow GL_2(\mathbb{C})$  defined by

$$\sigma \longrightarrow \begin{pmatrix} \phi(\sigma) & 0 \\ 0 & \psi(\sigma) \end{pmatrix}$$

is a reducible representation with the desired properties.

If  $g = \sum_{n=1}^{+\infty} a_n q^n$  is a cusp form, then the Theorem follows considering  $L \subseteq \mathbb{C}$ , the algebraic number field containing  $a_p$  and  $\chi(p)$  for all  $p$ , and the reduction modulo some place  $\lambda_l$  of  $L$  (where  $l$  is a prime that splits completely).  $\square$

**Theorem 5.7.** *If  $g = \sum_n a_n(g)q^n$  is a newform of weight one, level  $N_g$  and character  $\psi_g$ , then there is an irreducible Artin representation*

$$\rho_g : \mathcal{G}_{\mathbb{Q}} \longrightarrow GL_2(\mathbb{C})$$

of conductor  $N_g$  with the following property: if  $p \nmid N_g$ , then the characteristic polynomial of  $\rho_g(\text{Frob}_p)$  is

$$X^2 - a_p(g)X + \psi_g(p)$$

*Sketch of proof.* We can observe the following things:

- $\det(\rho_g)$  is the character of  $\mathcal{G}_{\mathbb{Q}}$  corresponding to  $\psi$  and  $\rho_g(c)$  is conjugated to the matrix

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- A basis can be chosen so that the representation  $\rho_g$  takes values in  $GL_2(K_g)$  (where  $K_g$  is the number field generated by the  $a_n(g)$ ). Moreover suppose that  $K$  is a finite extension of  $\mathbb{Q}_l$  in  $\overline{\mathbb{Q}_l}$  and we have fixed embeddings of  $\overline{\mathbb{Q}}$  in  $\mathbb{C}$  and  $\overline{\mathbb{Q}_l}$ . If  $K_g$  is contained in  $K$ , then we can view  $\rho_g$  as giving rise to an  $l$ -adic representation  $\mathcal{G}_{\mathbb{Q}} \rightarrow GL_2(K)$  and hence a mod  $l$  representation  $\mathcal{G}_{\mathbb{Q}} \rightarrow GL_2(k)$ .

- A key idea in the construction of  $\rho_g$  is to first construct the mod  $l$  representations using those already associated to newforms of higher weight. More precisely, suppose that  $K_g \rightarrow K$  as in the previous point. One can show that for some newform  $f$  of weight 2 and level  $N_f$  dividing  $Nl$  we have

$$a_p(g) \equiv a_p(f) \quad \psi_g(p) \equiv p\psi_f(p)$$

for all  $p \nmid Nl$ , the congruence being modulo the maximal ideal of the ring of integers of  $K'_f$ . Thus  $\bar{\rho}_f$  is the semi-simplification of the desired mod  $l$  representation (with scalars extended to  $k_f$ ).  $\square$

# From Galois Representations to Modular Forms

In the previous sections we have seen how to construct a Galois representation starting from a modular form. We now want to understand if it is possible to do the inverse road.

It is conjectured that certain types of two-dimensional representations of  $\mathcal{G}_{\mathbb{Q}}$  always arise from the constructions described in the previous section. We now state some of the conjectures and the results known prior to Wiles's work.

## Artin Representations

**Conjecture 6.1** (Artin's Conjecture). *Let  $\rho : \mathcal{G}_{\mathbb{Q}} \rightarrow GL_2(\mathbb{C})$  be a continuous irreducible representation with  $\det(\rho(c)) = -1$ . Then  $\rho$  is equivalent to  $\rho_g$  for some newform  $g$  of weight one.*

*Observation.* Conjecture 6.1 is equivalent to the statement that the Artin  $L$ -functions attached to  $\rho$  and to all its twists by one-dimensional characters are entire. (The Artin conjecture predicts that the Artin  $L$ -function  $L(s, \rho)$  is entire, for an arbitrary irreducible, non-trivial Artin representation  $\rho : \mathcal{G}_{\mathbb{Q}} \rightarrow GL_d(\mathbb{C})$ ).

A large part of conjecture 6.1 was proved by Langlands.

**Theorem 6.2** (Weil-Langlands). *Given  $\rho : \mathcal{G}_{\mathbb{Q}} \rightarrow GL_2(\mathbb{C})$  satisfying*

- (a)  $\rho$  is irreducible;
- (b)  $\det \rho$  is odd;
- (c) for all continuous characters  $\chi : \mathcal{G}_{\mathbb{Q}} \rightarrow \mathbb{C}^{\times}$ , the  $L$ -function  $L(\rho \otimes \chi, s) = \sum_{n=1}^{+\infty} \chi(n) a_n n^{-s}$  has an analytic continuation to the entire complex plane

with Artin conductor  $N$ , let

$$L(\rho, s) = \sum_{n=1}^{+\infty} a_n n^{-s}$$

be its Artin  $L$ -function. Then  $f = \sum_{n=1}^{+\infty} a_n q^n$  is a normalized newform lying in  $S_1(N, \chi)$ .

*Sketch of proof.* The proof consists in realizing a bijection between the set of (isomorphism classes of) complex Galois representations of conductor  $N$  satisfying (a),(b) and (c) above and the set of normalized newforms on  $S_1(N, \chi)$ . □

The results were extended by Tunnell.

**Theorem 6.3.** *Let  $\rho : \mathcal{G}_{\mathbb{Q}} \rightarrow GL_2(\mathbb{C})$  be a continuous irreducible representation such that  $\rho(\mathcal{G}_{\mathbb{Q}})$  is solvable and  $\det(\rho(c)) = -1$ . Then  $\rho$  is equivalent to  $\rho_g$  for some newform  $g$  of weight one.*

*Remark.* The solvability hypothesis excludes only the case where the projective image of  $\rho$  is isomorphic to  $A_5$  the alternating group of order 5.

*Remark.* If the projective image of  $\rho$  is dihedral, then  $\rho$  is induced from a character of a quadratic extension of  $\mathbb{Q}$ . In this case the result can already be deduced from the work of Hecke.

*Remark.* A recent work of Khare and Wintenberger on Serre's modularity conjecture has shown that the Artin conjecture about  $L$ -functions for odd, 2-dimensional representations is true. The case of  $n$  dimensional representations

$$\rho : \mathcal{G}_{\mathbb{Q}} \rightarrow GL_n(\mathbb{C})$$

with  $n$  even is still open.

## mod $l$ Representations

**Definition.** We say that a representation  $\bar{\rho} : \mathcal{G}_{\mathbb{Q}} \rightarrow GL_2(k)$  is modular (of level  $N$ ) if, for some newform  $f$  of weight 2 (and level  $N$ ),  $\bar{\rho}$  is equivalent over  $k_f$  to  $\bar{\rho}_f$ .

**Proposition 6.4.** *If  $f \in S_2(M, \chi)$  is a newform of some level  $M$  dividing  $N$ , then its Fourier coefficients lie in a finite extension  $K$  of  $\mathbb{Q}$ . Moreover, if  $\sigma \in \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$  is any Galois automorphism, then the Fourier series  $f^\sigma$  obtained by applying  $\sigma$  to the Fourier coefficients is a newform in  $S_2(M, \chi\sigma)$ .*

By Proposition 6.4 the notion is independent of the choices of embeddings  $K \hookrightarrow \bar{\mathbb{Q}}_l$ ,  $\bar{\mathbb{Q}} \hookrightarrow \bar{\mathbb{Q}}_l$  and  $\bar{\mathbb{Q}} \hookrightarrow \mathbb{C}$ . Moreover, if  $K'$  is a finite extension of  $K$  with residue field  $k'$ , then  $\bar{\rho}$  is modular if and only if  $\bar{\rho} \otimes_k k'$  is modular.

**Theorem 6.5.** *Let  $\bar{\rho} : \mathcal{G}_{\mathbb{Q}} \rightarrow GL_2(k)$  be a continuous absolutely irreducible representation with  $\det(\bar{\rho}(c)) = -1$ . Suppose that one of the following holds:*

- (a)  $k = \mathbb{F}_3$ ;
- (b) the projective image of  $\bar{\rho}$  is dihedral.

Then  $\bar{\rho}$  is modular.

*Sketch of proof.* We will study the two cases separately.

- (a) Let's consider the surjection

$$GL_2(\mathbb{Z}[\sqrt{-2}]) \rightarrow GL_2(\mathbb{F}_3)$$

defined by reduction mod  $(1 + \sqrt{-2})$ . One checks that there is a section

$$s : GL_2(\mathbb{F}_3) \rightarrow GL_2(\mathbb{Z}[\sqrt{-2}])$$

and applies theorem 6.3 to  $s \circ \bar{\rho}$ . The resulting representation arises from a weight one newform, and hence its reduction  $\bar{\rho}$  is equivalent to  $\bar{\rho}_f$  for some  $f$ .

- (b)  $\bar{\rho}$  is equivalent to a representation of the form  $\text{Ind}_{\mathcal{G}_F}^{\mathcal{G}_{\mathbb{Q}}} \bar{\xi}$  where  $F$  is a quadratic extension of  $\mathbb{Q}$  and  $\bar{\xi}$  is a character  $\mathcal{G}_F \rightarrow k^\times$ . (We have here enlarged  $K$  if necessary.) Let  $n$  be the order of  $\bar{\xi}$ ; choose an embedding

$$\mathbb{Q}(e^{\frac{2\pi i}{n}}) \hookrightarrow K$$

and lift  $\bar{\xi}$  to a character  $\xi : \mathcal{G}_F \rightarrow \mathbb{Z}[e^{2\pi i/n}]^\times$ . We may always choose  $\xi$  so that the Artin representation  $\rho = \text{Ind}_{\mathcal{G}_F}^{\mathcal{G}_{\mathbb{Q}}} \xi$  is odd, i.e.,  $\det(\rho(c)) = -1$ . (In the case  $l = 2$  and  $F$  real quadratic, we may have to multiply  $\xi$  by a suitable quadratic character of  $\mathcal{G}_F$ .) We then apply 6.3 to  $\rho$  and deduce as in case (a) that  $\bar{\rho}$  is modular.

□

In general we have the following

**Conjecture 6.6** (Serre's Conjecture). *Let  $\bar{\rho} : \mathcal{G}_{\mathbb{Q}} \rightarrow GL_2(k)$  be a continuous absolutely irreducible representation with  $\det(\bar{\rho}(c)) = -1$ . Then  $\bar{\rho}$  is modular.*

Serre also proposed a refinement of the conjecture which predicts that  $\bar{\rho}$  is associated to a newform of specified weight, level and character. This refinement, known as ‘‘Serres refined conjecture’’, excludes weight 1 modular forms although a further reformulation was made by Edixhoven to include them. Through work of Mazur, Ribet, Carayol, Gross and others, this refinement is now known to be equivalent to Conjecture 6.6 if  $l$  is odd, and also when  $l = 2$  in many cases. (One also needs to impose a mild restriction in the case  $l = 3$ ).

Today this conjecture is known to be true thanks to a work of Chandrashekhara Khare (that already in 2005 proved some cases of it) and Jean-Pierre Wintenberger.

Here we give a variant which applies to newforms of weight two. Before doing so, we assume  $l$  is odd and define an integer  $\delta(\bar{\rho})$  as follows:

- $\delta(\bar{\rho}) = 0$  if  $\bar{\rho}|_{\mathcal{G}_l}$  is good;
- $\delta(\bar{\rho}) = 1$  if  $\bar{\rho}|_{\mathcal{G}_l}$  is not good and  $\bar{\rho}|_{I_l} \otimes_k \bar{k}$  is of the form

$$\begin{pmatrix} \epsilon^a & * \\ 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} \epsilon & * \\ 0 & \epsilon^a \end{pmatrix} \quad \text{or} \quad \begin{pmatrix} \psi^a & 0 \\ 0 & \psi^a \end{pmatrix}$$

for some positive integer  $a < l$ . (Recall that  $\epsilon$  is the cyclotomic character and  $\psi$  is the character of  $I_l$ ).

- $\delta(\bar{\rho}) = 2$  otherwise.

**Theorem 6.7.** *Suppose that  $l$  is odd and  $\bar{\rho}$  is absolutely irreducible and modular. If  $l = 3$ , then suppose also that  $\bar{\rho}|_{\mathcal{G}_{\mathbb{Q}(\sqrt{-3})}}$  is absolutely irreducible. Then there exists a newform  $f$  of weight two such that*

- $\bar{\rho}$  is equivalent over  $k_f$  to  $\bar{\rho}_f$ ;
- $N_f = N(\bar{\rho})l^{\delta(\bar{\rho})}$ ;
- the order of  $\psi_f$  is not divisible by  $l$ .

*Proof.* The existence of such an  $f$  follows from the work of Diamond “The refined Conjecture of Serre”, but with  $N_f$  dividing  $N(\bar{\rho})l^{\delta(\bar{\rho})}$ . It can be shown that  $N_f$  is divisible by  $N(\bar{\rho})$ . The divisibility of  $N_f$  by  $\delta(\bar{\rho})$  follows from some results in the works of Gross and Edixhoven.  $\square$

### **$l$ -adic Representations**

Let  $\rho : \mathcal{G}_{\mathbb{Q}} \rightarrow GL_2(K)$  be an  $l$ -adic representation.

**Definition.** We say that  $\rho$  is modular if, for some weight 2 newform  $f$ ,  $\rho$  is equivalent over  $K'_f$  to  $\rho_f$ .

The notion is independent of the choices of embeddings and well-behaved under extension of scalars. The following is a special case of a conjecture of Fontaine and Mazur.

**Conjecture 6.8** (Fontaine-Mazur). *If  $\rho : \mathcal{G}_{\mathbb{Q}} \rightarrow GL_2(K)$  is an absolutely irreducible  $l$ -adic representation and  $\rho|_{\mathcal{G}_{\mathbb{Q}_l}}$  is semistable, then  $\rho$  is modular.*

(Recall that for us  $l$ -adic representations are defined to be unramified at all but finitely many primes. Recall also that if  $\rho|_{\mathcal{G}_l}$  is semistable, then by definition  $\det \rho|_{I_l}$  is the cyclotomic character  $\epsilon$ ).

*Remark.* Relatively little was known about this conjecture before Wiles’ work. Wiles proves that under suitable hypotheses, the modularity of  $\bar{\rho}$  implies that of  $\rho$ .

*Remark.* In the work of Fontaine and Mazur there is a stronger conjecture than the one here; in particular, the semistability hypothesis could be replaced with a suitable notion of potential semistability. On the other hand, one expects that if  $\rho|_{\mathcal{G}_l}$  is semistable, then it is equivalent to  $\rho_f$  (over  $K'_f$ ) for some  $f$  on  $\Gamma_1(N(\rho)) \cap \Gamma_0(l)$  (and on  $\Gamma_1(N(\rho))$  if  $\rho|_{\mathcal{G}_l}$  is good).

**Conjecture 6.9** (Shimura-Taniyama). *All elliptic curves defined over  $\mathbb{Q}$  are modular.*

The Shimura-Taniyama conjecture can be viewed in the framework of the problem of associating modular forms to Galois representations. Let  $E$  be an elliptic curve defined over  $\mathbb{Q}$ . For each prime  $l$ , we let  $\rho_{E,l}$  denote the  $l$ -adic representation  $\mathcal{G}_{\mathbb{Q}} \rightarrow GL_2(\mathbb{Q}_l)$  defined by the action of  $\mathcal{G}_{\mathbb{Q}}$  on the Tate module of  $E$ .

**Proposition 6.10.** *The following are equivalent:*

- (a)  $E$  is modular.
- (b)  $\rho_{E,l}$  is modular for all primes  $l$ .
- (c)  $\rho_{E,l}$  is modular for some prime  $l$ .

*Proof.* We have already seen that if  $E$  is modular, then  $E$  is isogenous to  $A_f$  for some weight two newform  $f$  with  $K_f = \mathbb{Q}$ . It follows that for each prime  $l$ ,  $\rho_{E,l}$  is equivalent to the  $l$ -adic representation  $\rho_f$ . Hence **(a)**  $\implies$  **(b)**  $\implies$  **(c)**.

To show **(c)**  $\implies$  **(b)**, suppose that for some  $l$  and some  $f$ , the representations  $\rho_{E,l}$  and  $\rho_f$  are equivalent. First observe that for all but finitely many primes  $p$ , we have

$$\text{tr}(\rho_f(\text{Frob}_p)) = \text{tr}(\rho_{E,l}(\text{Frob}_p))$$

We deduce that for all but finitely many primes  $p$

$$a_p(f) = p + 1 - \#\overline{E}_p(\mathbb{F}_p) \in \mathbb{Z}$$

We find that for each prime  $l$ ,  $\rho_{E,l}$  is equivalent to  $\rho_f$  and is therefore modular.

We finally show that **(b)**  $\implies$  **(a)**. The equality above holds for all primes  $p$  not dividing  $N_f$ , which by theorem 5.1, part **(d)**, is the conductor of  $E$ . Since  $\det(\rho_f) = \det(\rho_{E,l}) = \epsilon$ , we see by Theorem 5.1 Part **(b)** that  $\psi_f$  is trivial. We conclude that  $a_p$  is in  $\{0, \pm 1\}$  for primes  $p$  dividing  $N_f$ .

Thus  $K_f = \mathbb{Q}$  and  $A_f$  is an elliptic curve. Faltings' isogeny Theorem now tells us that  $E$  and  $A_f$  are isogenous and we conclude that  $E$  is modular.  $\square$

*Remark.* Note that the equivalence **(b)**  $\iff$  **(c)** does not require Faltings' isogeny Theorem.

*Remark.* Tate conjectured that the  $L$ -function determined the elliptic curve  $E$  up to isogeny over  $k$ . More precisely, that the map of  $\mathbb{Z}_l$ -modules:

$$\text{Hom}_k(E, E') \otimes \mathbb{Z}_l \rightarrow \text{Hom}_{\mathcal{G}_k}(T_l E, T_l E')$$

is an isomorphism, for any two elliptic curves  $E$  and  $E'$  over  $k$ . This was proved (for abelian varieties) by Faltings and it is now known as Faltings' Isogeny Theorem.

*Remark.* In the paper "On the Modularity of Elliptic Curves over  $\mathbb{Q}$ " we can find the following chain of equivalences:

- (1) The  $L$ -function  $L(E, s)$  of  $E$  equals the  $L$ -function  $L(f, s)$  for some eigenform  $f$ .
- (2) The  $L$ -function  $L(E, s)$  of  $E$  equals the  $L$ -function  $L(f, s)$  for some eigenform  $f$  of weight 2 and level  $N(E)$ .
- (3)  $\rho_{E,l}$  is modular for some prime  $l$ .
- (4)  $\rho_{E,l}$  is modular for all primes  $l$ .
- (5) There is a non-constant holomorphic map  $X_1(N)(\mathbb{C}) \rightarrow E(\mathbb{C})$  for some positive integer  $N$ .
- (6) There is a non-constant morphism  $X_1(N(E)) \rightarrow E$  which is defined over  $\mathbb{Q}$ .
- (7)  $E$  is modular.

The implications **(2)**  $\implies$  **(1)**, **(4)**  $\implies$  **(3)**, and **(6)**  $\implies$  **(5)** are tautological. The implication **(1)**  $\implies$  **(4)** follows from the characterisation of  $L(E, s)$  in terms of  $\rho_{E,l}$ . The implication **(3)**  $\implies$  **(2)** follows from a Theorem of Carayol and a Theorem of Faltings. The implication **(2)**  $\implies$  **(6)** follows from a construction of Shimura and a Theorem of Faltings. The implication **(5)**  $\implies$  **(3)** seems to have been first noticed by Mazur.

**Proposition 6.11.** *If the Fontaine-Mazur conjecture (Conjecture 6.8) holds for some prime  $l$ , then the Shimura-Taniyama conjecture holds. If Serre's conjecture (Conjecture 6.6) holds for infinitely many  $l$ , then the Shimura-Taniyama conjecture (Conjecture 6.9) holds.*

*Proof.* The first assertion is immediate from Proposition 6.10 and the irreducibility of  $\rho_{E,l}$ . The second follows from the work of Serre. (We have implicitly chosen the field  $K$  to be  $\mathbb{Q}_l$  in the statements of Conjectures 6.8 and 6.6, but it may be replaced by a finite extension).  $\square$

*Remark.* Note that to prove a given elliptic curve  $E$  is modular, it suffices to prove that Conjecture 6.8 holds for a single  $l$  at which  $E$  has semistable reduction. Wiles' approach is to show that certain cases of Conjecture 6.6 imply cases of Conjecture 6.8 and hence cases of the Shimura-Taniyama conjecture.

Now the Shimura-Taniyama conjecture is known to be true with the name of "Modularity Theorem".